



**東京大学**  
THE UNIVERSITY OF TOKYO

# **Fate and Effects of Inhaled Nanomaterials: What are the Perceived and Real Risks?**

**Günter Oberdörster**  
**University of Rochester**

**April 4, 2014**





**POPULATED PLACES**

- 1,000,000 and over
- 500,000 - 999,999
- 100,000 - 499,999
- 25,000 - 99,999
- 25,000 and less
- National capital
- State or provincial capital
- Urban areas

**TRANSPORTATION**

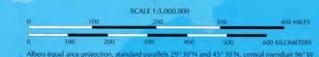
- Interstate: limited access highway
- Other principal highway
- Feeder
- Railroad

**PHYSICAL FEATURES**

- Stream: perennial, intermittent
- Lake: perennial, intermittent
- Bathymetry contour

Highest elevation in state (feet):  
 Other elevations (feet):  
 Lowest elevation

**STANDARD TIME ZONES**



Meters equal area projection, standard parallels 29°30'N and 47°30'N, central meridian 96°W  
 Compiled by U.S. Geological Survey  
 2001



ALASKA  
SCALE 1:5,000,000  
Others equal area projection, standard parallels 31°N and 65°N,  
longitudinal meridian 154°W



**POPULATED PLACES**

- 1,000,000 and over
- 500,000 - 999,999
- 100,000 - 499,999
- 25,000 - 99,999
- 25,000 and less
- National capital
- State or provincial capital
- Urban areas

- Chicago
- Seattle
- Ottawa
- Paris
- Washington
- Athens

**TRANSPORTATION**

- Interstate: limited access highway
- Other principal highway
- Ferry
- Railroad

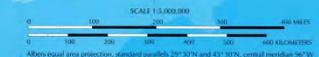
**PHYSICAL FEATURES**

- Stream: perennial, intermittent
- Lake: perennial, intermittent
- Railway: overcast

Highest elevation in state (feet):  
Other elevations (feet):  
Elevation (meters)

**STANDARD TIME ZONES**

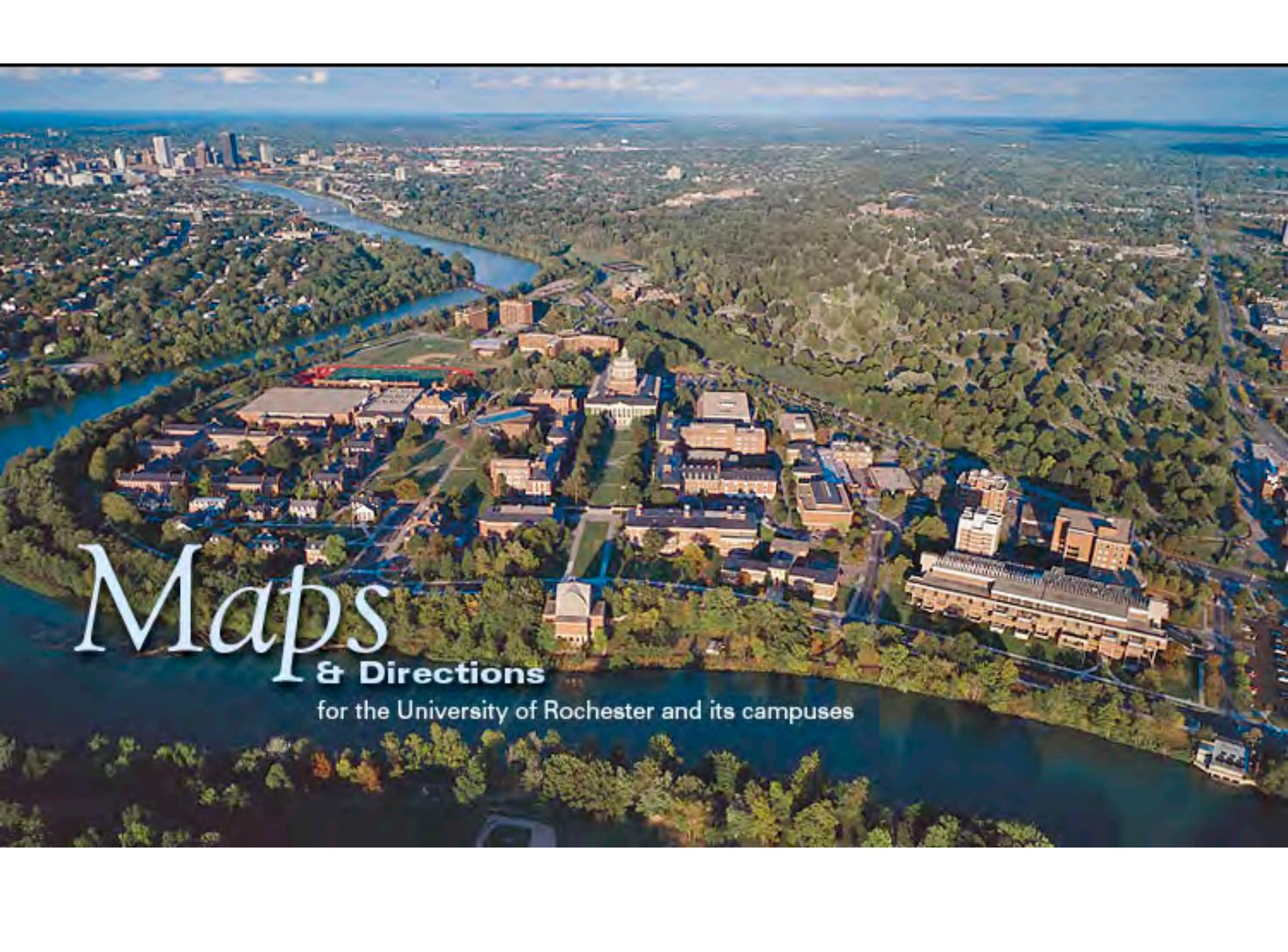
**Principal Islands of the United States**



SCALE 1:5,000,000  
Others equal area projection, standard parallels 29°30'N and 47°30'N, longitudinal meridian 96°W  
Compiled by U.S. Geological Survey  
2001







# *Maps* & Directions

for the University of Rochester and its campuses



UNIVERSITY of  
**ROCHESTER**  
MEDICAL CENTER

# OUTLINE

Nanotoxicology, some Basics

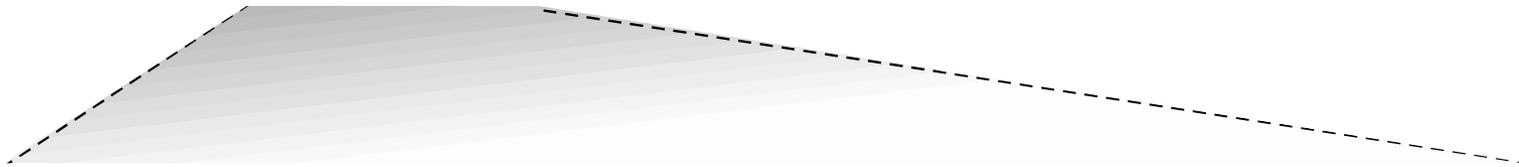
Dosing the respiratory tract

Nanoparticle biokinetics

Hazard/Risk characterization

Environmental ultrafine particles

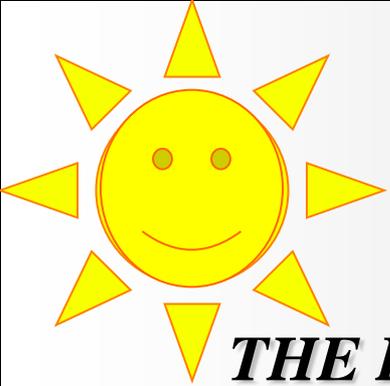
# Nanoscale Materials



variable

nm -  $\mu\text{m}$  (variable)

**Length scales for natural and synthetic structures (above)  
and some examples of engineered nanomaterials of varying size and shape (below)**



# NANOTECHNOLOGY

***THE BRIGHT!***

*and*

***THE DARK?***

## Multiple Applications/Benefits

- **Structural Engineering**
- **Electronics, Optics**
- **Food and Feed Industry**
- **Consumer Products**
- **Energy Technology**
- **Soil/Water Remediation**
- **Nanomedicine:**
  - *therapeutic*
  - *diagnostic*
  - *drug delivery*
  - *cancer*
  - *nanosensors*
  - *nanorobotics*

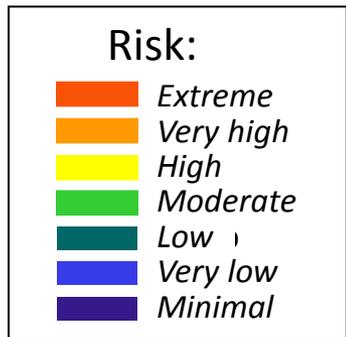
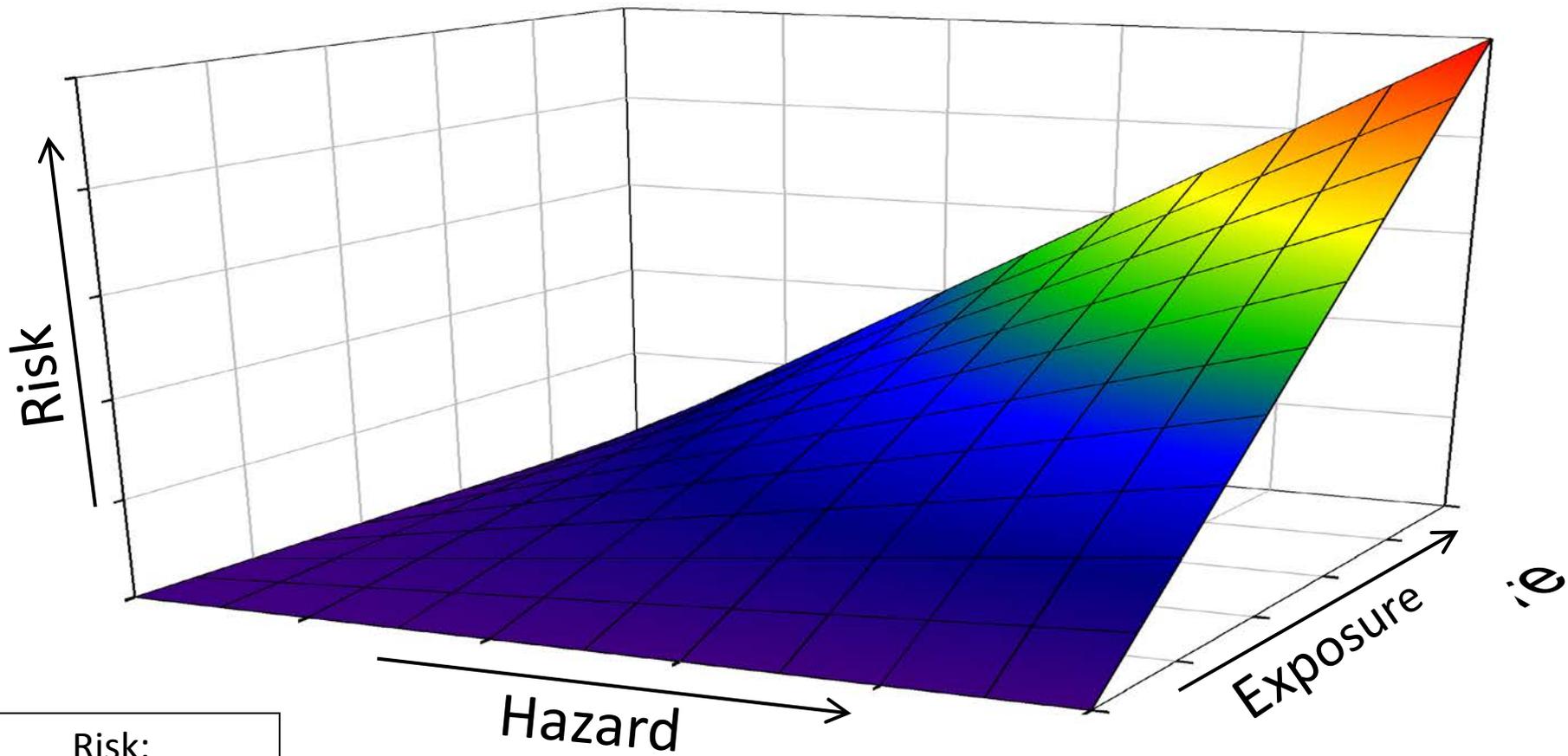
## Consumer Fears/Perceived Risks

- **Safety: Potential adverse effects**
- **Environmental Contamination**
- **Inadvertent Exposure**  
*(inhalation, dermal, ingestion)*
- **Susceptible Subpopulation**
- **Societal Implications**
- **Nanotoxicology:**

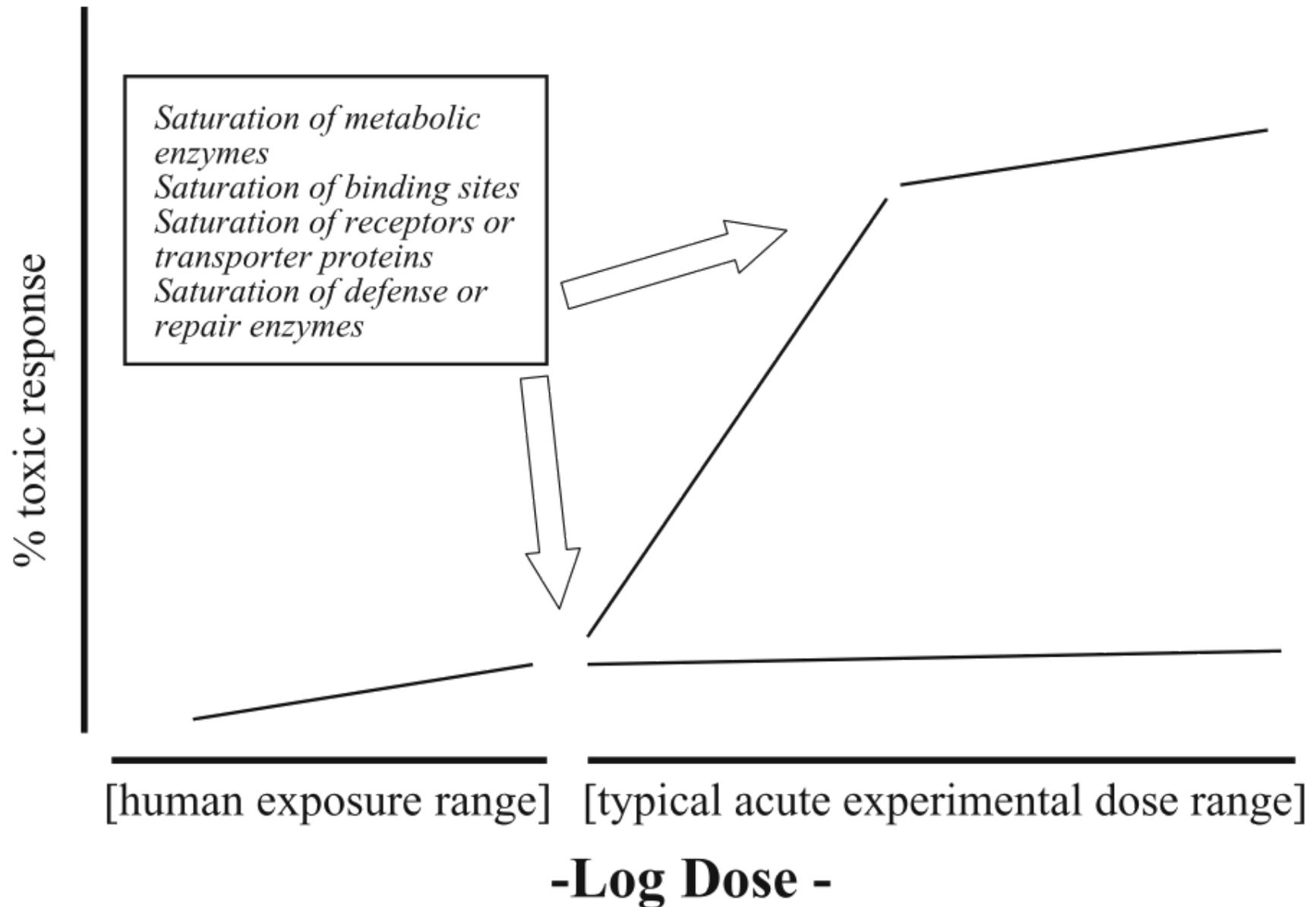


*Safety/Risk Assessment of  
engineered Nanomaterials and of  
Nanotechnology enabled Applications*

$$\text{Risk} = f(\text{hazard}; \text{exposure})$$



# Conceptual Depiction of Factors for Considering Dose-dependent Transitions in Determinants of Toxicity

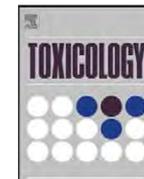




All things are poison,  
and nothing is without poison;  
only the dose permits something  
not to be poisonous

The Dose makes the poison

**Paracelsus, 1493 - 1541**



## Time-dependent translocation and potential impairment on central nervous system by intranasally instilled TiO<sub>2</sub> nanoparticles

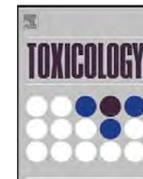
Jiangxue Wang<sup>a,b</sup>, Ying Liu<sup>a,b</sup>, Fang Jiao<sup>a,b</sup>, Fang Lao<sup>a,b</sup>, Wei Li<sup>a,b</sup>, Yiqun Gu<sup>c</sup>, Yufeng Li<sup>a,b</sup>, Cuicui Ge<sup>a,b</sup>, Guoqiang Zhou<sup>a,b</sup>, Bai Li<sup>a,b</sup>, Yuliang Zhao<sup>a,b,\*</sup>, Zhifang Chai<sup>a,b</sup>, Chunying Chen<sup>a,b,\*\*</sup>

<sup>a</sup> Laboratory for Bio-Environmental Effects of Nanomaterials and Nanosafety and Key Lab of Nuclear Analytical Techniques, Institute of High Energy Physics, Chinese Academy of Sciences, Beijing 100049, PR China

<sup>b</sup> National Center for Nanoscience and Technology, Beijing 100190, PR China

<sup>c</sup> Maternity Hospital of Haidian District, Beijing 100080, China

Nanoparticles can be administered via nasal, oral, intraocular, intratracheal (pulmonary toxicity), tail vein and other routes. Here, we focus on the time-dependent translocation and potential damage of TiO<sub>2</sub> nanoparticles on central nervous system (CNS) through intranasal instillation. Size and structural properties are important to assess biological effects of TiO<sub>2</sub> nanoparticles. In present study, female mice were intranasally instilled with two types of well-characterized TiO<sub>2</sub> nanoparticles (i.e. 80 nm, rutile and 155 nm, anatase; purity > 99%) every other day. Pure water instilled mice were served as controls. The brain tissues were collected and evaluated for accumulation and distribution of TiO<sub>2</sub>, histopathology, oxidative stress, and inflammatory markers at post-instillation time points of 2, 10, 20 and 30 days. The titanium contents in the sub-brain regions including olfactory bulb, cerebral cortex, hippocampus, and cerebellum were determined by inductively coupled plasma mass spectrometry (ICP-MS). Results indicated that the instilled TiO<sub>2</sub> directly entered the brain through olfactory bulb in the whole exposure period, especially deposited in the hippocampus region. After exposure for 30 days, the pathological changes were observed in the hippocampus and olfactory bulb using Nissl staining and transmission electron microscope. The oxidative damage expressed as lipid peroxidation increased significantly, in particular in the exposed group of anatase TiO<sub>2</sub> particles at 30 days postexposure. Exposure to anatase TiO<sub>2</sub> particles also produced higher inflammation responses, in association with the significantly increased tumor necrosis factor alpha (TNF-α) and interleukin (IL-1β) levels. We conclude that subtle differences in responses to anatase TiO<sub>2</sub> ...



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***Nano TiO<sub>2</sub> repeated bolus instillation into mouse:  
7.5 mg into mouse = 17.5 grams into human nose!***

deposited in the hippocampus region. After exposure for 30 days, the pathological changes were observed in the hippocampus and olfactory bulb using Nissl staining and transmission electron microscope. The oxidative damage expressed as lipid peroxidation increased significantly, in particular in the exposed group of anatase TiO<sub>2</sub> particles at 30 days postexposure. Exposure to anatase TiO<sub>2</sub> particles also produced higher inflammation responses, in association with the significantly increased tumor necrosis factor alpha (TNF-α) and interleukin (IL-1β) levels. We conclude that subtle differences in responses to anatase TiO<sub>2</sub> ...



17.5 g TiO<sub>2</sub> (P25)

## ***Some Headlines in the Popular Press:***

Nanoparticles (NPs)

kill workers

cause cancer

damage DNA

soften your brain

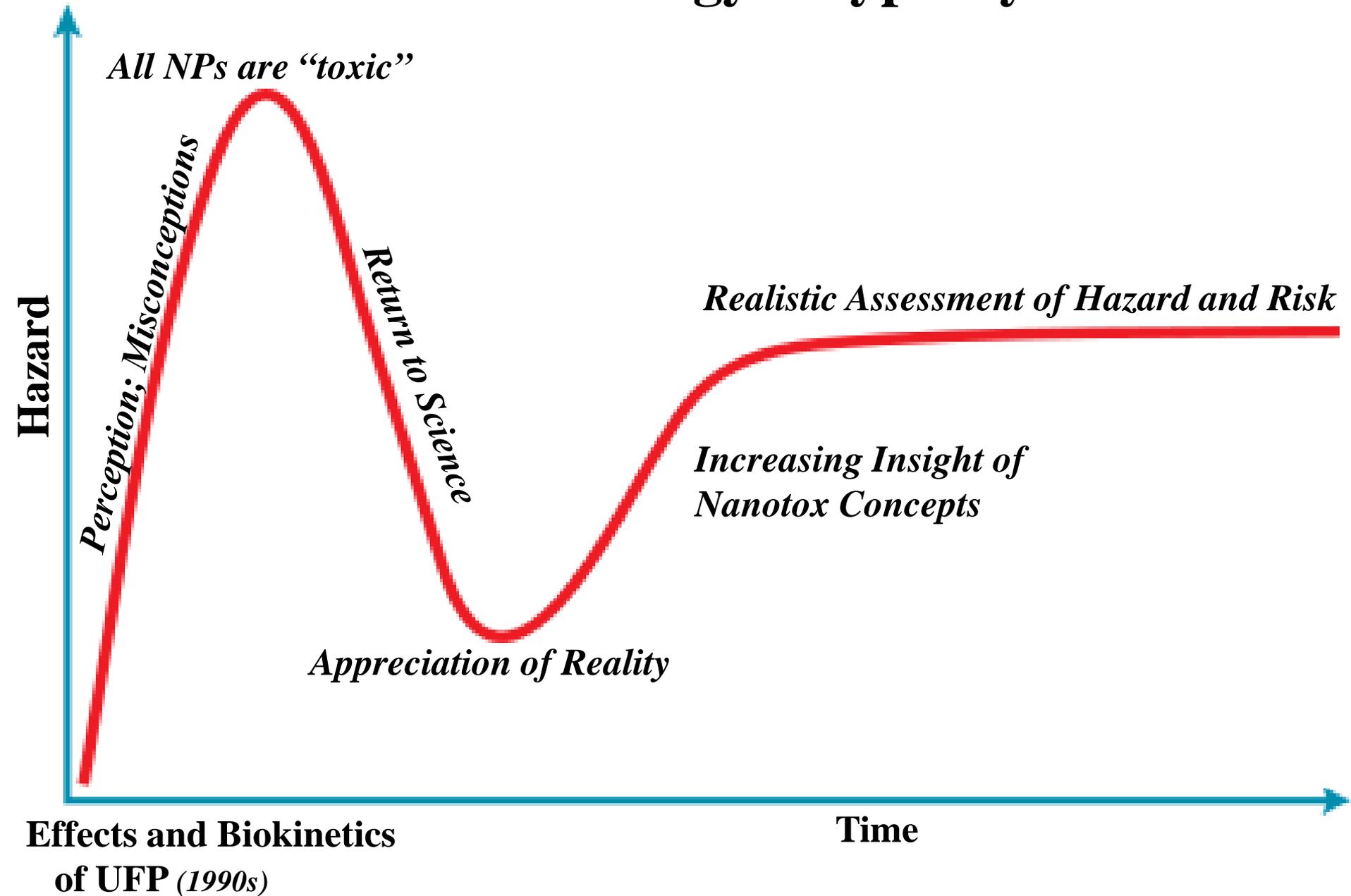
cause lung damage

cause genetic damage

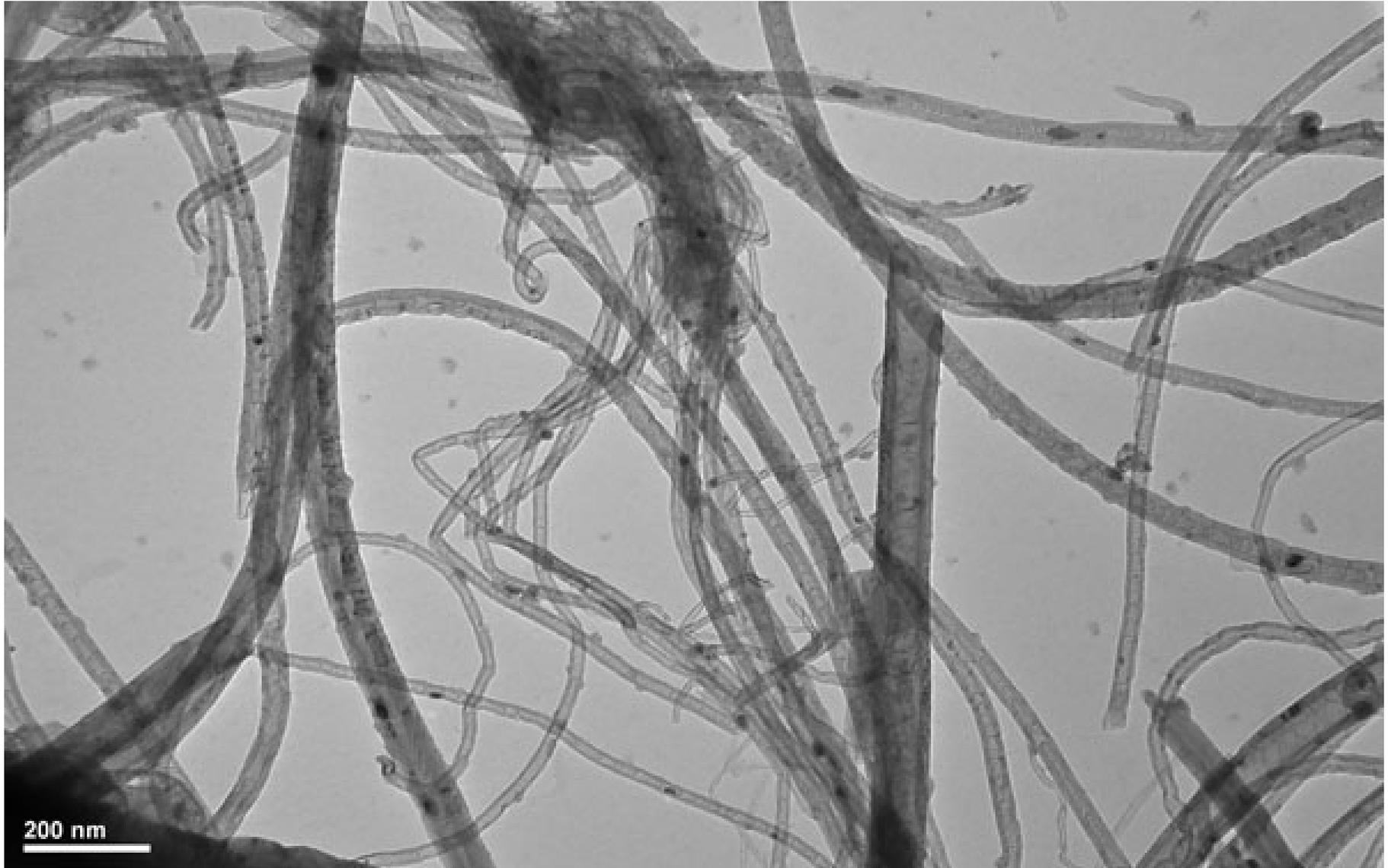
in sunscreen cause Alzheimer's?

are carbon nanotubes the next asbestos?

# Nanotoxicology - Hype Cycle

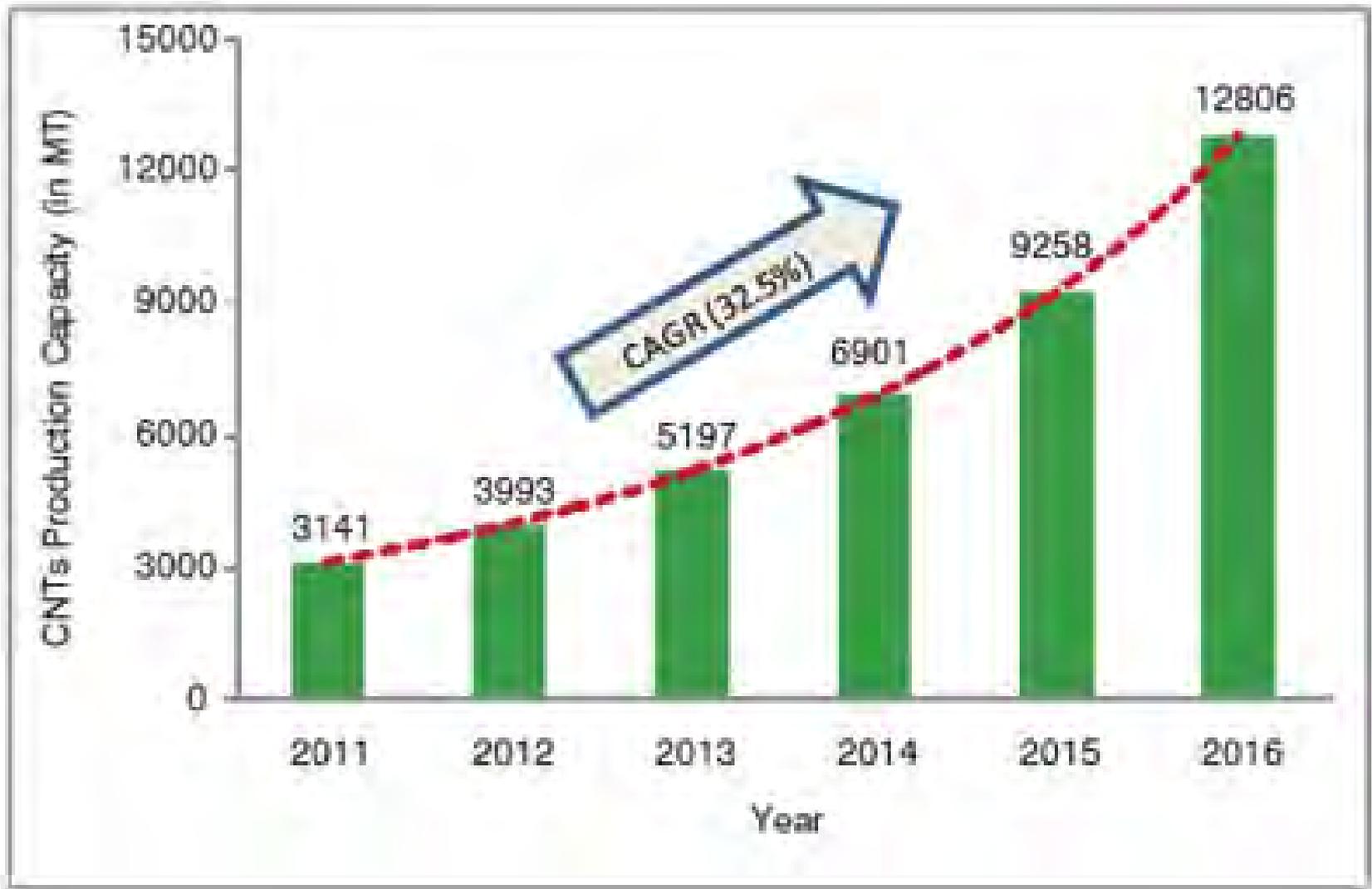


# DPPC/Alb-Dispersed Mitsui Multiwalled Carbon Nanotubes (MWCNTs)

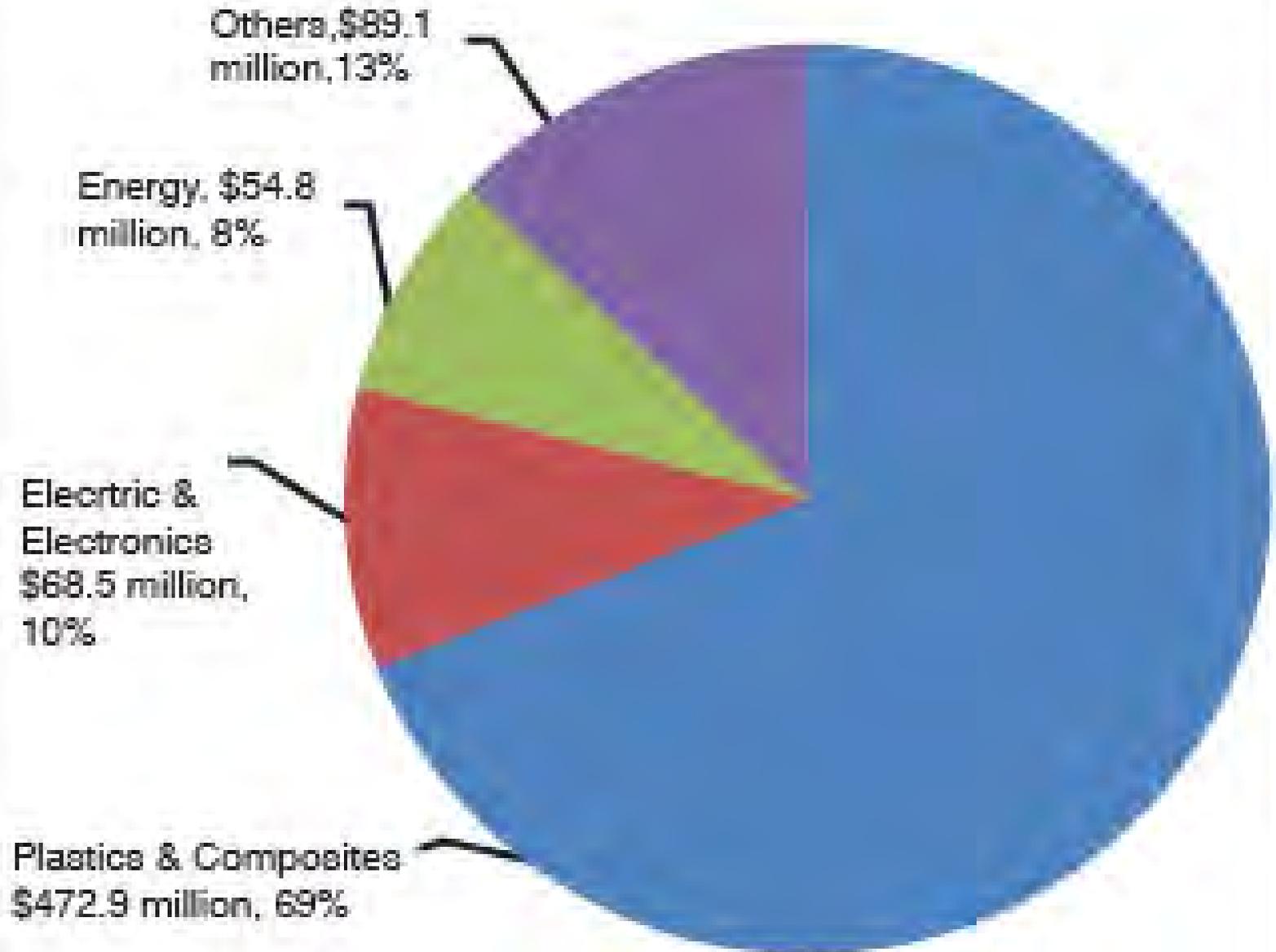


# Next five years forecast (2011-2016) for global CNTs market

*(compounded annual growth rate)*



# Global CNTs market by industry (2010)



# **Induction of mesothelioma in p53+/- mouse by intraperitoneal application of multi-wall carbon nanotube**

*Takagi et al., J. Toxicol. Sci. 33 (No. 1): 105-116, 2008*

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## **Carbon nanotubes introduced into the abdominal cavity of mice show asbestos-like pathogenicity in a pilot study**

CRAIG A. POLAND, RODGER DUFFIN, IAN KINLOCH, ANDREW MAYNARD,  
WILLIAM A. H. WALLACE, ANTHONY SEATON, VICKI STONE, SIMON BROWN  
WILLIAM MACNEE, AND KEN DONALDSON

Nature Nanotechnology/Vol. 3/July 2008

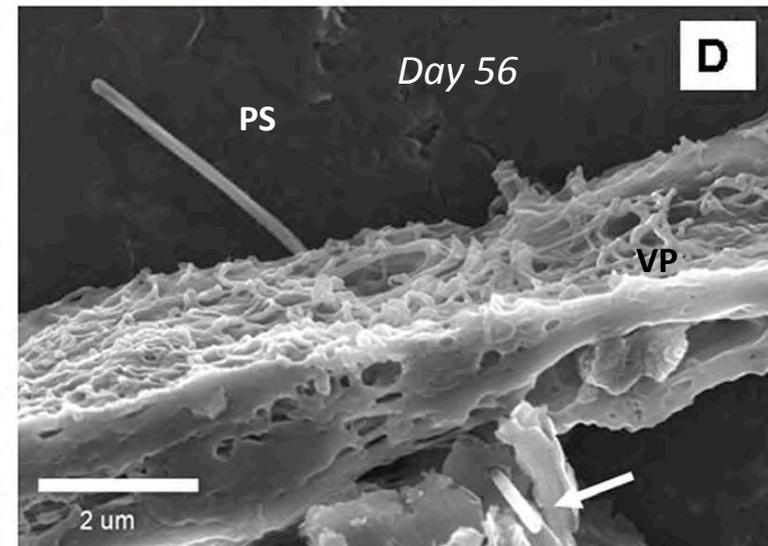
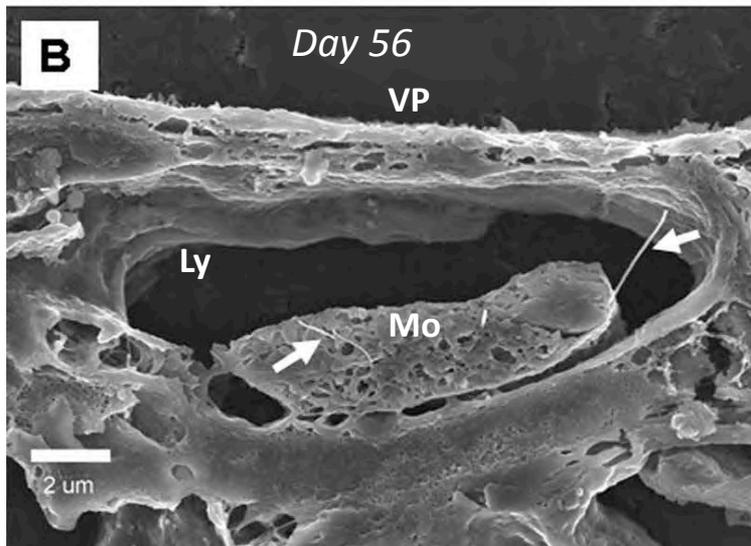
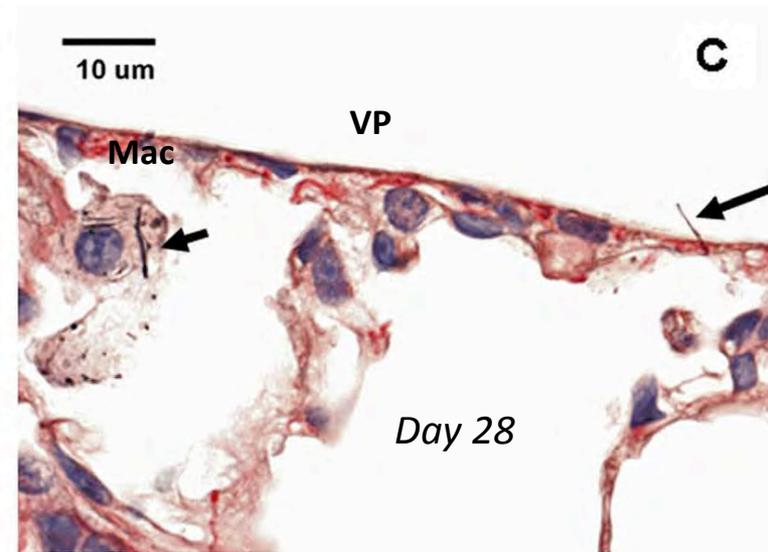
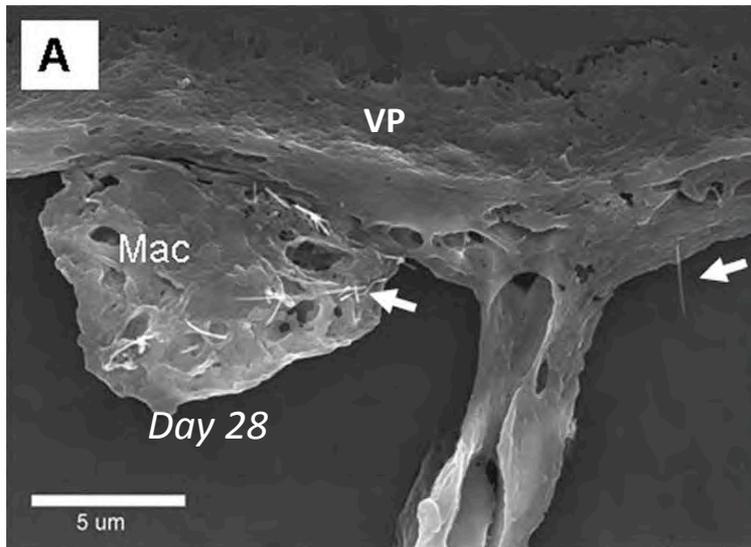
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## **Induction of mesothelioma by a single intrascrotal administration of multi-wall carbon nanotube in intact male Fischer 344 rats**

*Sakamoto et al, J.Tox. Sci., 34, 65-76, 2009*

# MWCNT in Subpleural Tissues, Visceral Pleura and Pleural Space of Mice Following Oro-Pharyngeal Aspiration (80 $\mu$ g)

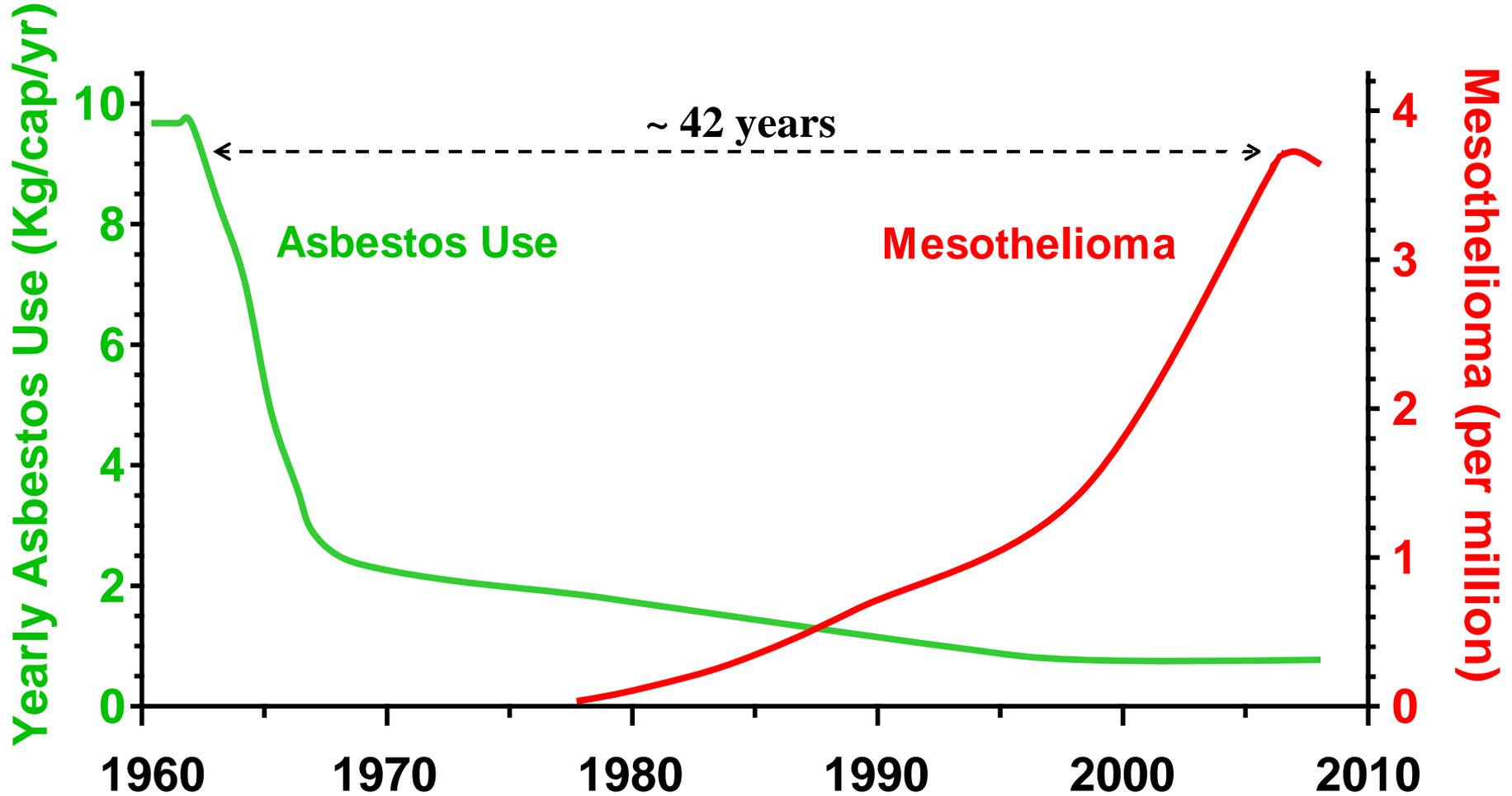
From: Mercer et al., 2010



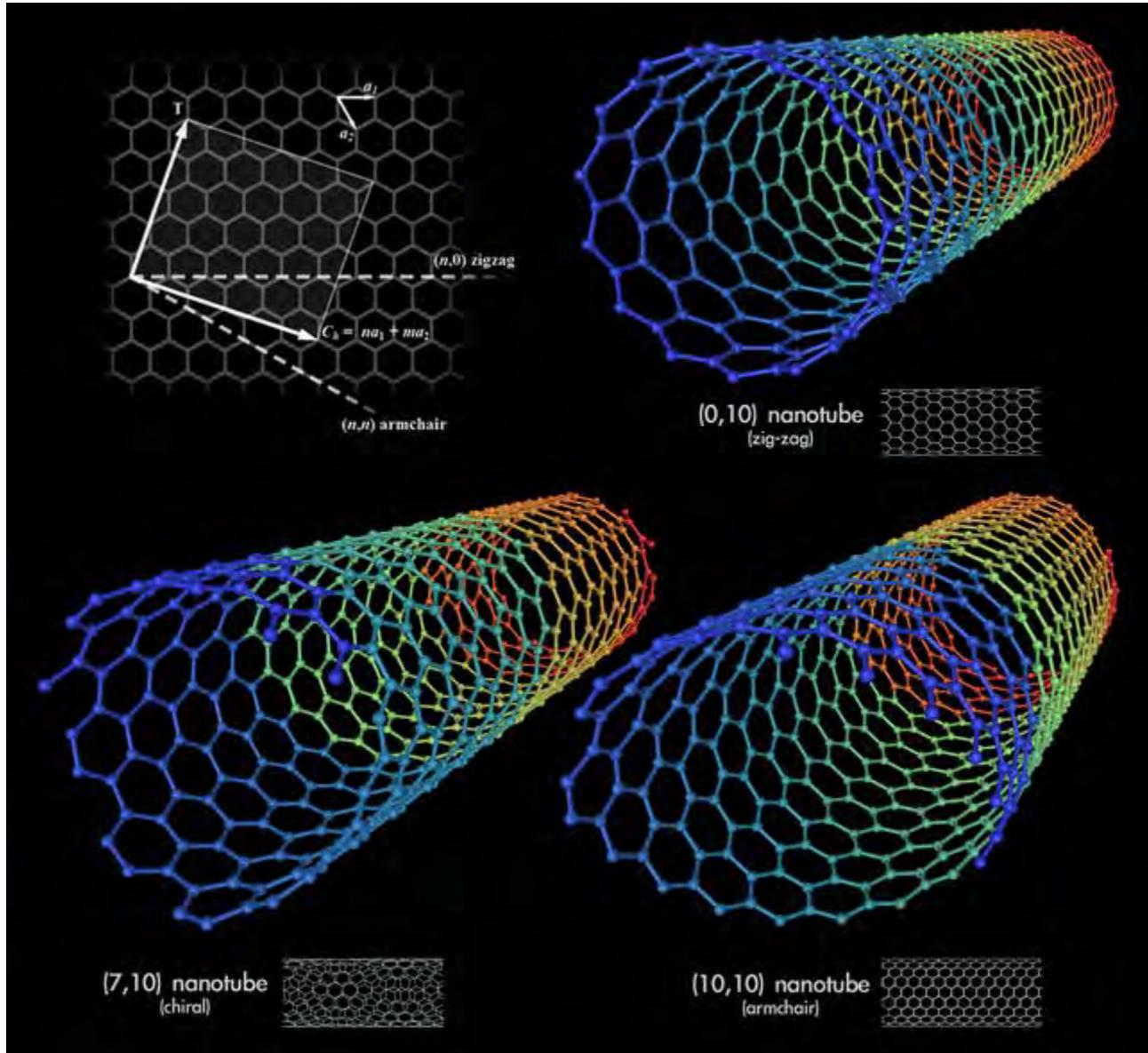
VP: visceral pleura; Mac: alveolar macrophage; Mo: monocyte; Ly: lymph vessel; PS: pleural space

# Asbestos Use and Age Standardized Mesothelioma Incidence Rates in Hong Kong

*Tse et al EHP, 2010*



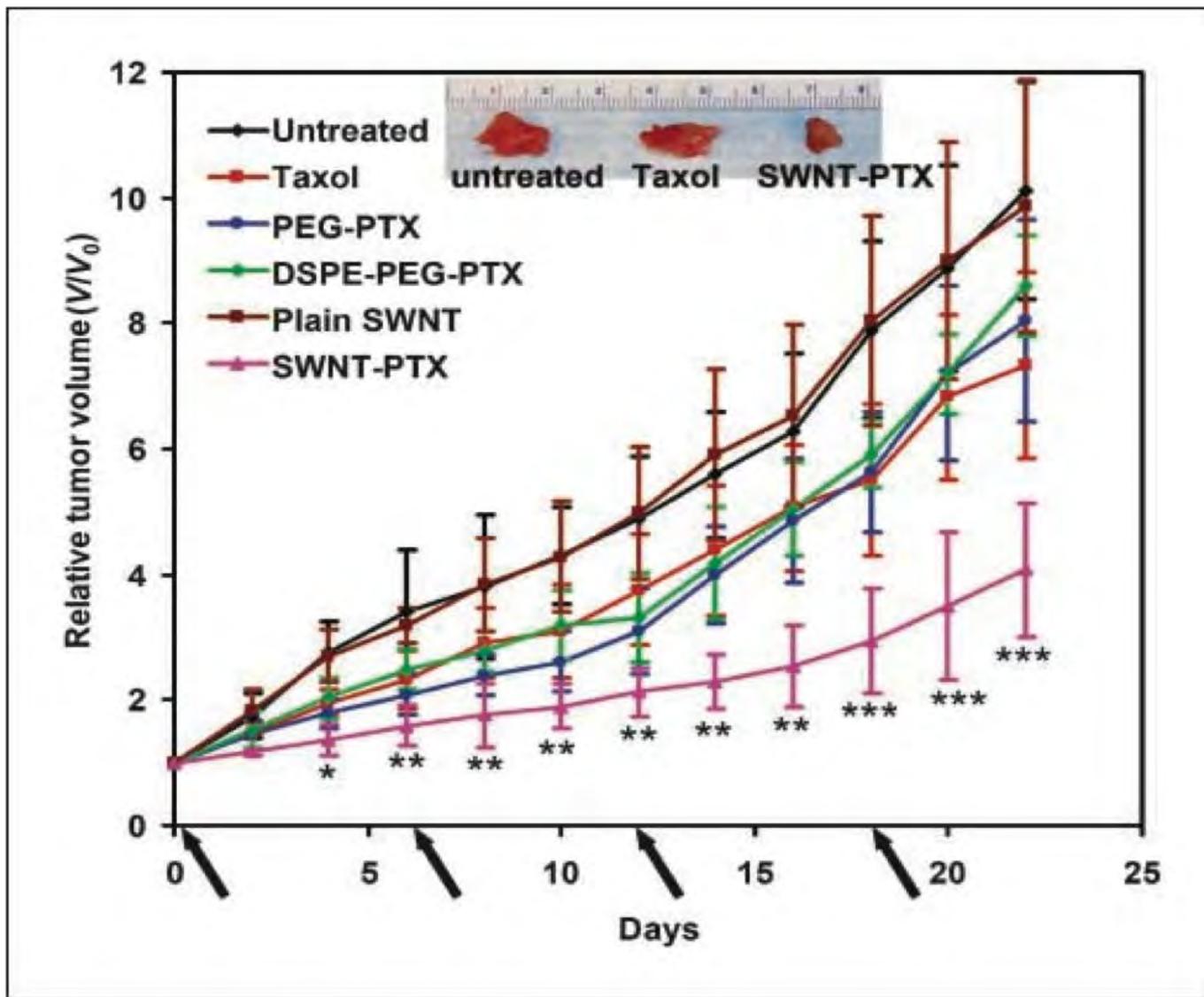
# Single Walled Carbon Nanotubes (SWCNTs)



# Carbon nanotube for PTX delivery



# Nanotube PTX delivery suppresses tumor growth of 4T1 breast cancer mice model



# Physico-chemical NP Properties of Relevance for Toxicology

**Size** (*aerodynamic, hydrodynamic*)

**Size distribution**

**Shape**

**Agglomeration/aggregation**

**Density** (material, bulk)

**Surface properties:**

- area (*porosity*)
- charge
- reactivity
- chemistry (*coatings, contaminants*)
- defects

**Solubility/Sol-Rate** (*lipid, aqueous, in vivo*)

**Crystallinity**

**Biol. contaminants** (e.g. endotoxin)

**Properties can change**

-with: method of production  
preparation process  
storage

-when introduced into  
physiol. media, organism

Key parameter: Dose!

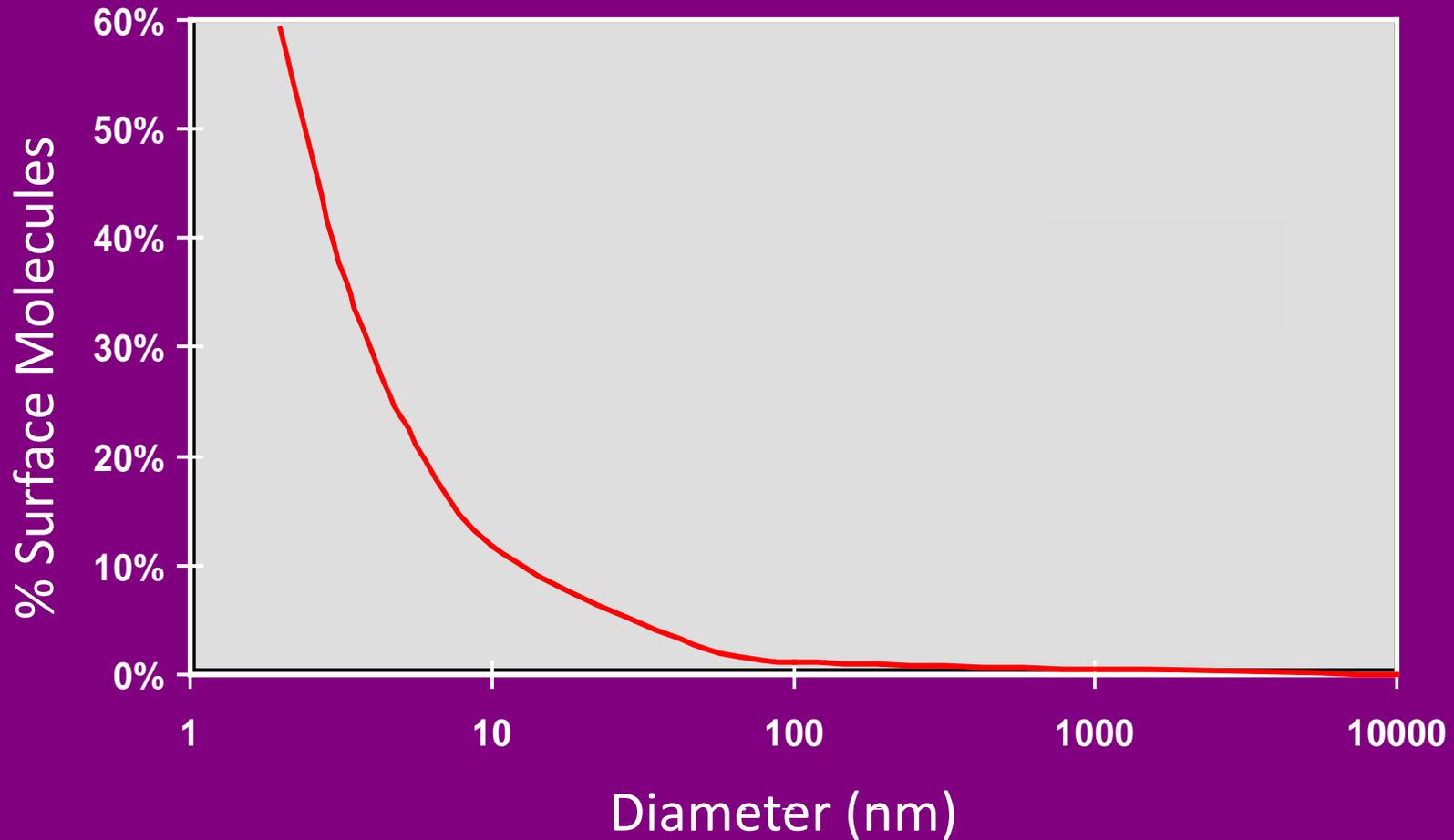
**Particle Number and Particle Surface Area per 10 pg/cm<sup>3</sup> Airborne Particles**  
(Unit density particles)

| <b>Particle Diameter<br/>nm</b> | <b>Particle Number<br/>N/cm<sup>3</sup></b> | <b>Particle Surface Area<br/>μm<sup>2</sup>/cm<sup>3</sup></b> |
|---------------------------------|---|--|
| <b>5</b>                        | <b>153,000,000</b>                          | <b>12,000</b>  |
| <b>20</b>                       | <b>2,400,00</b>                             | <b>3,016</b>   |
| <b>250</b>                      | <b>1,200</b>                                | <b>240</b>   |
| <b>5,000</b>                    | <b>0.15</b>                                 | <b>12</b>  |

*Small size, high number per mass, and surface chemistry confer both desirable and undesirable properties.*

*Detailed Physico-chemical characterization of NP is essential.*

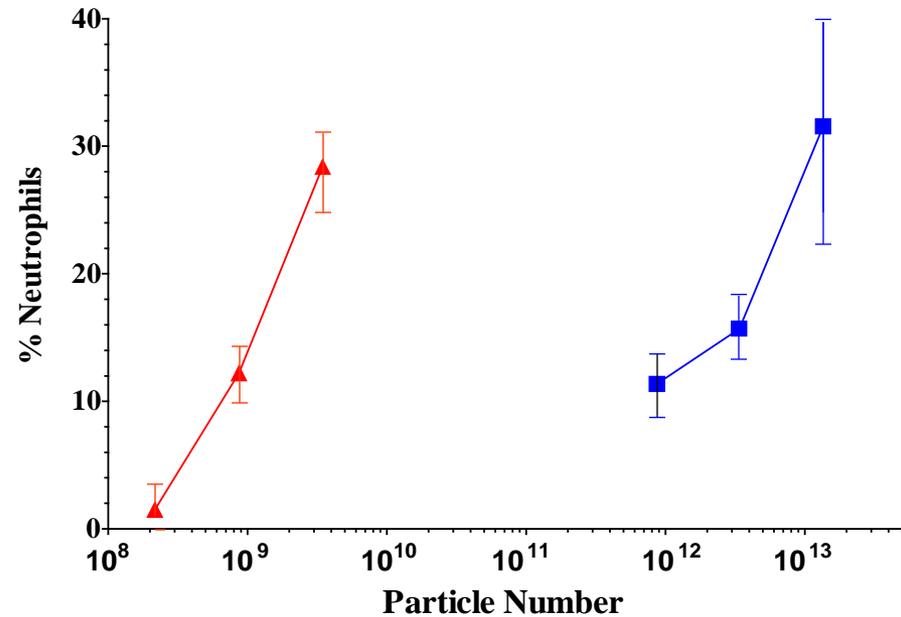
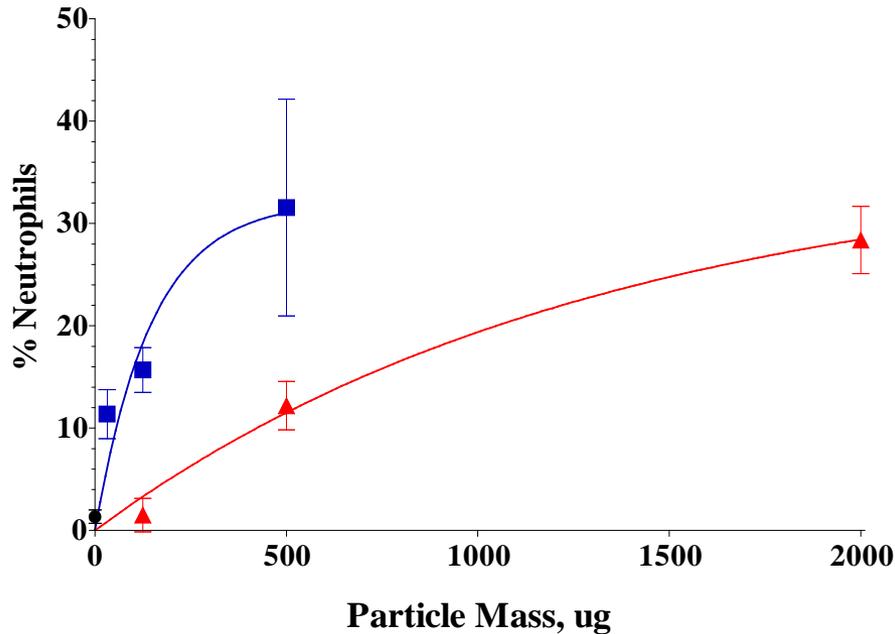
# Surface Molecules as Function of Particle Size



# Which Dose-Metric?

## Percent of Neutrophils in Lung Lavage 24 hrs after Intratracheal Dosing of Ultrafine and Fine TiO<sub>2</sub> in Rats

- ▲ Fine TiO<sub>2</sub> (200nm)
- Ultrafine TiO<sub>2</sub> (25nm)
- Saline



*Particle Mass*

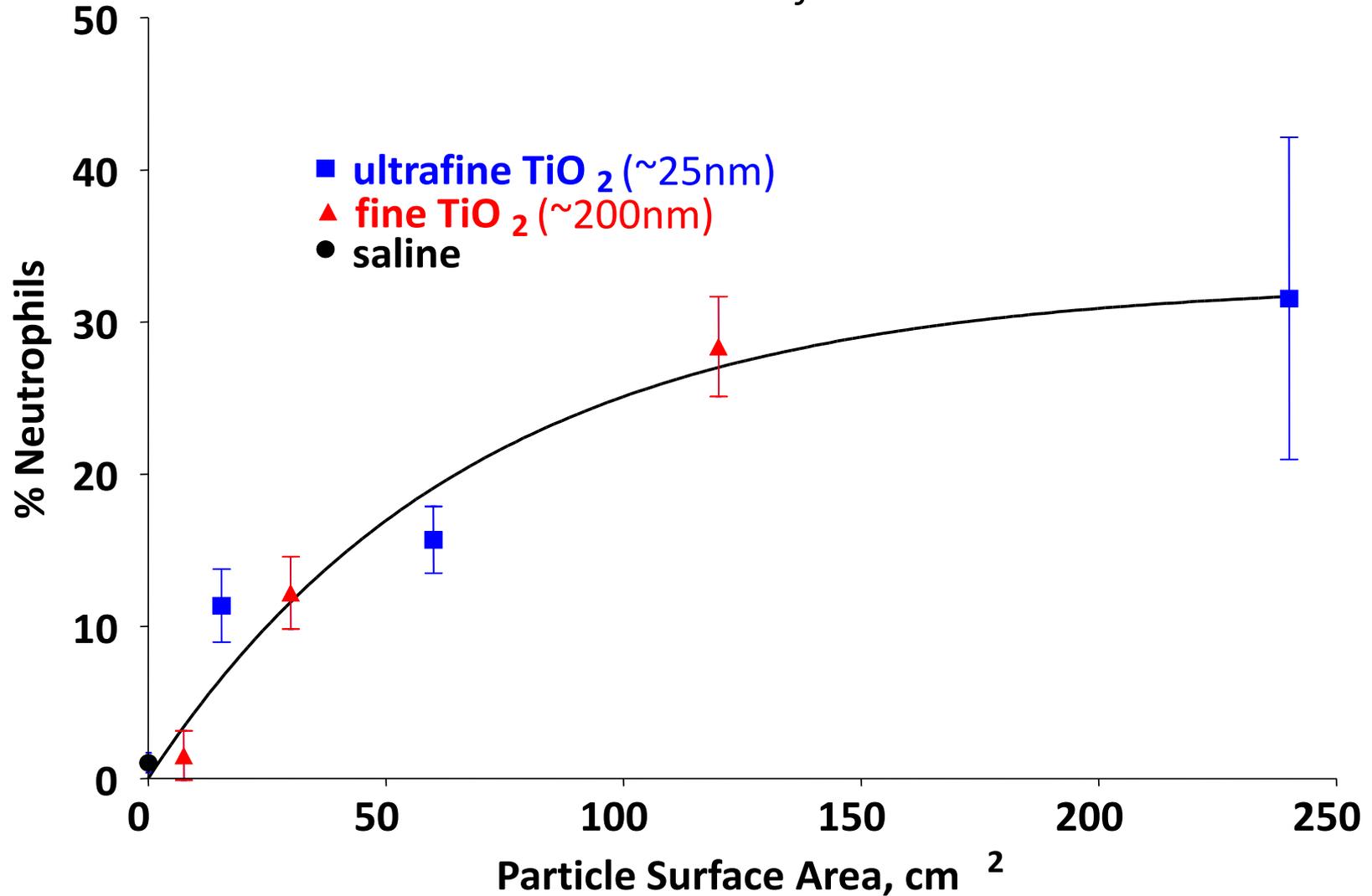
vs

*Particle Number*

# Which Dose-Metric?

Percent of Neutrophils in BAL 24 hrs after Instillation of  $\text{TiO}_2$  in Rats

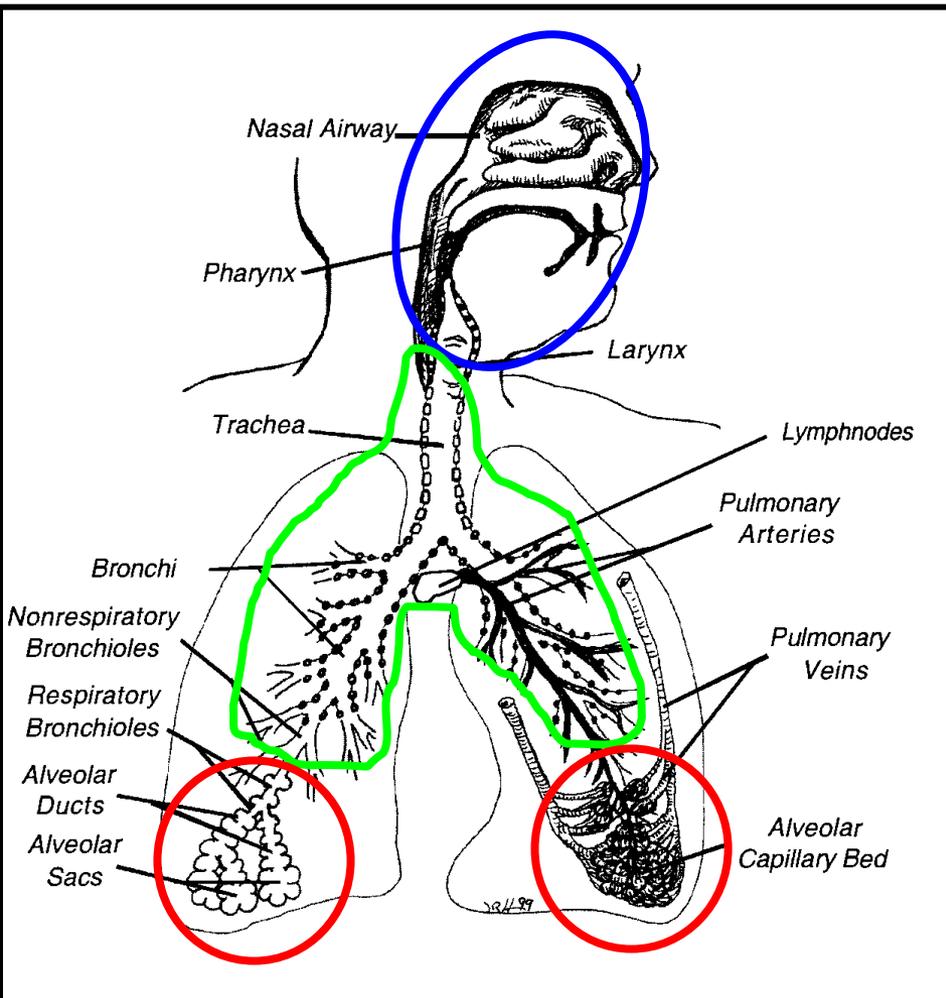
*Correlation with Particle Surface Area*



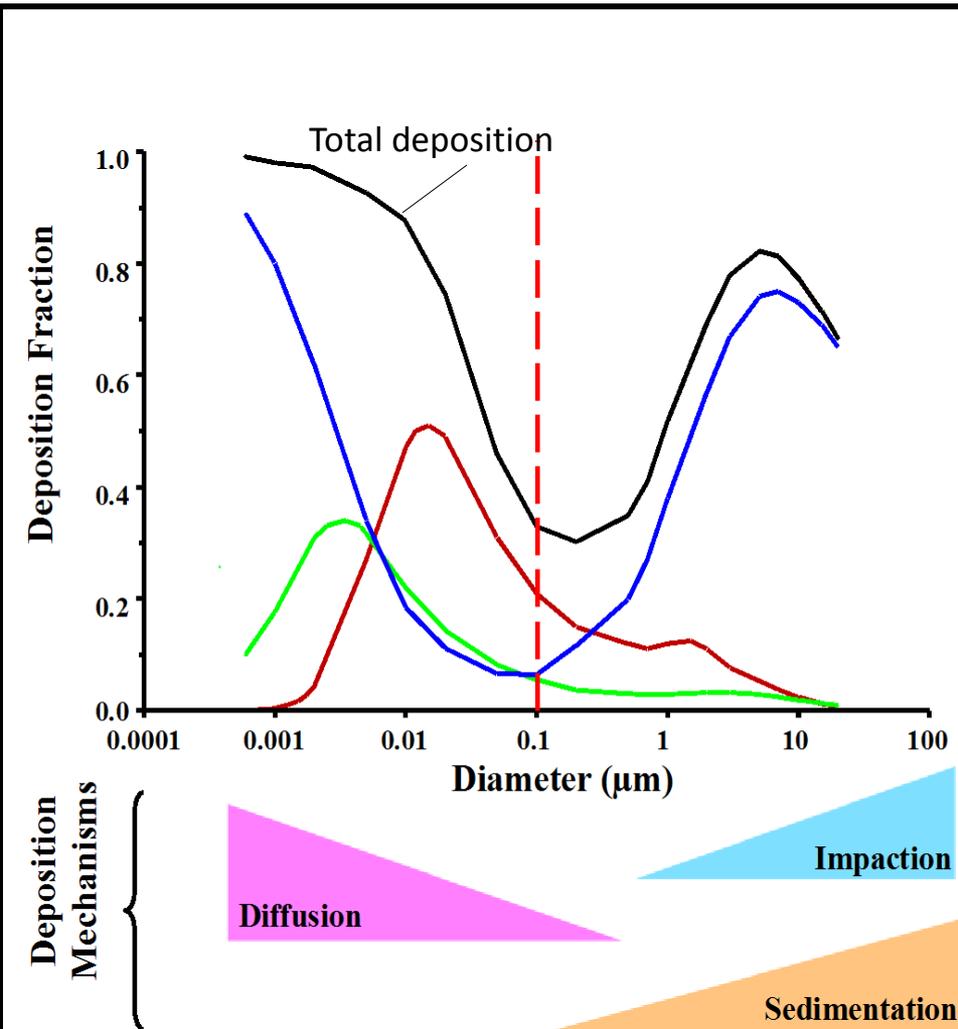
***Dosing the Respiratory Tract***  
***Impact of Dose-Rate***

# Fractional Deposition of Inhaled Particles in the Human Respiratory Tract

(ICRP Model, 1994; Nose-breathing)



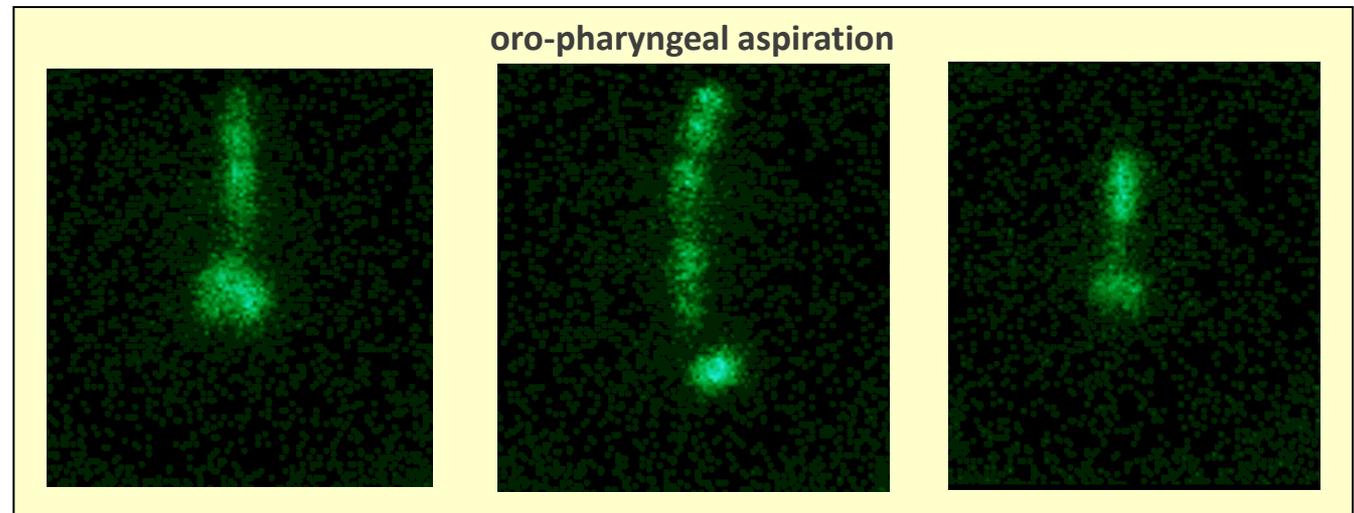
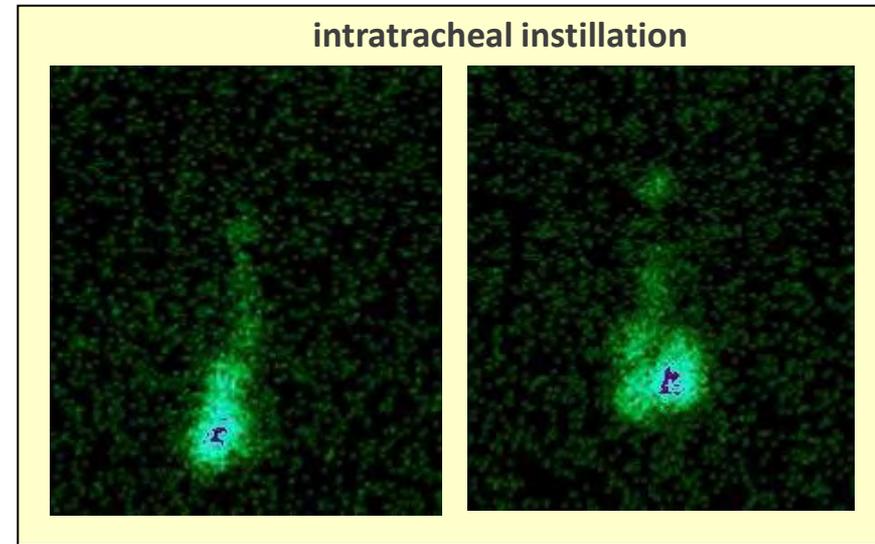
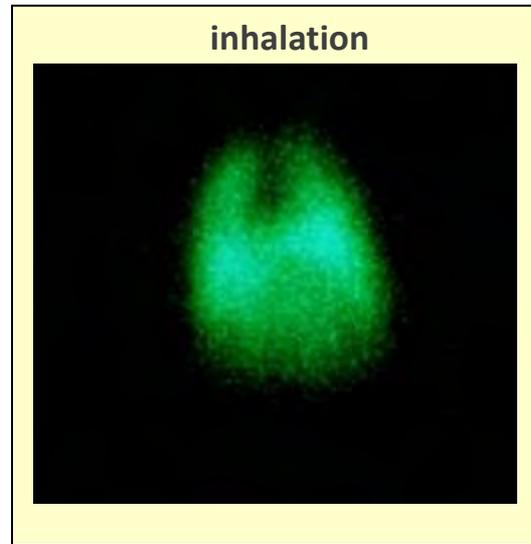
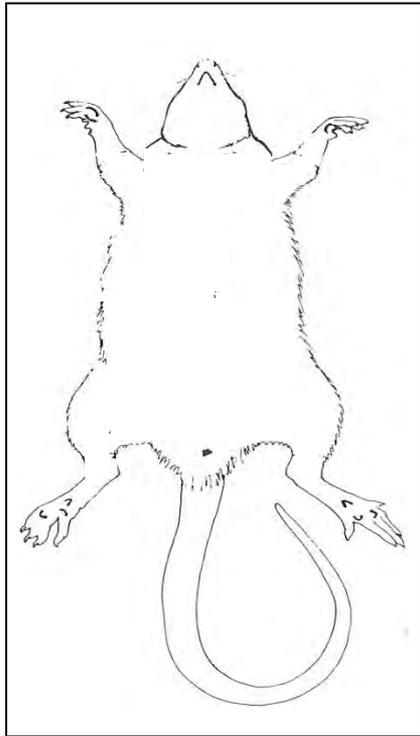
**Inhalable** < 100 $\mu$ m  
**Thoracic** < 30 $\mu$ m  
**Respirable** < 10 $\mu$ m



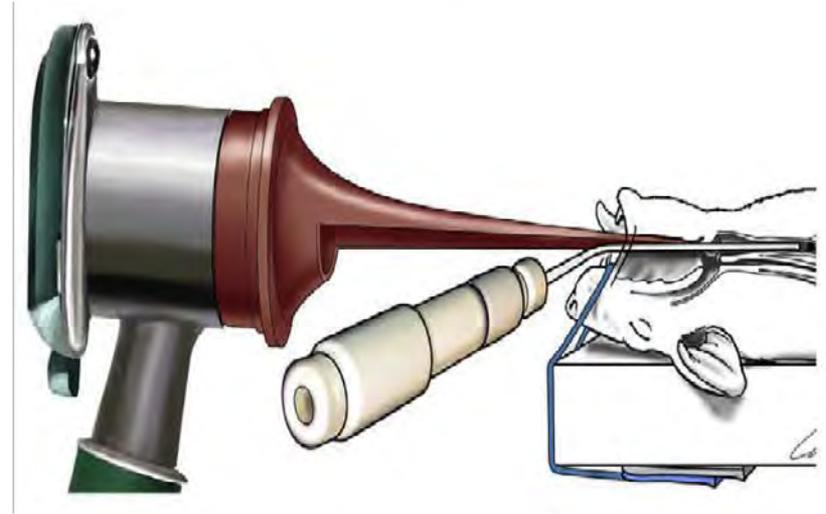
# Rat: Inhalation vs. Intratracheal Instillation vs. Oro-pharyngeal Aspiration of Nanoparticles

(Inhalation:  $^{192}\text{Ir}$  NP, 20 nm; Instillation + Aspiration: 18 nm  $^{198}\text{Au}$  NP)

Deposition in Lower Respiratory Tract immediately Post-Exposure, ( $\gamma$ -Camera Pin-Hole Images)



## Intratracheal Administration of Particles in Rodents

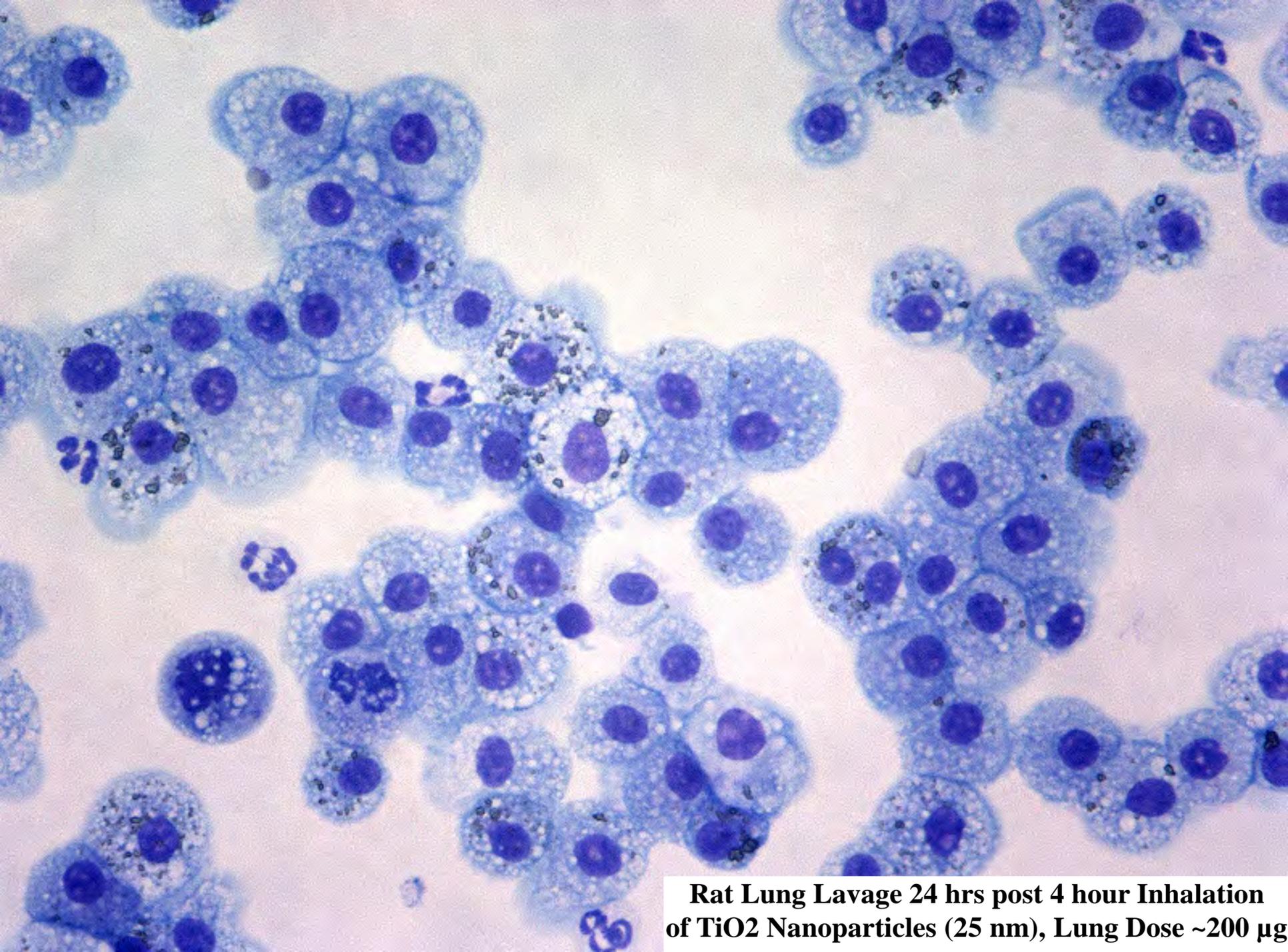


*Comparing Responses in the Lung of Rats*

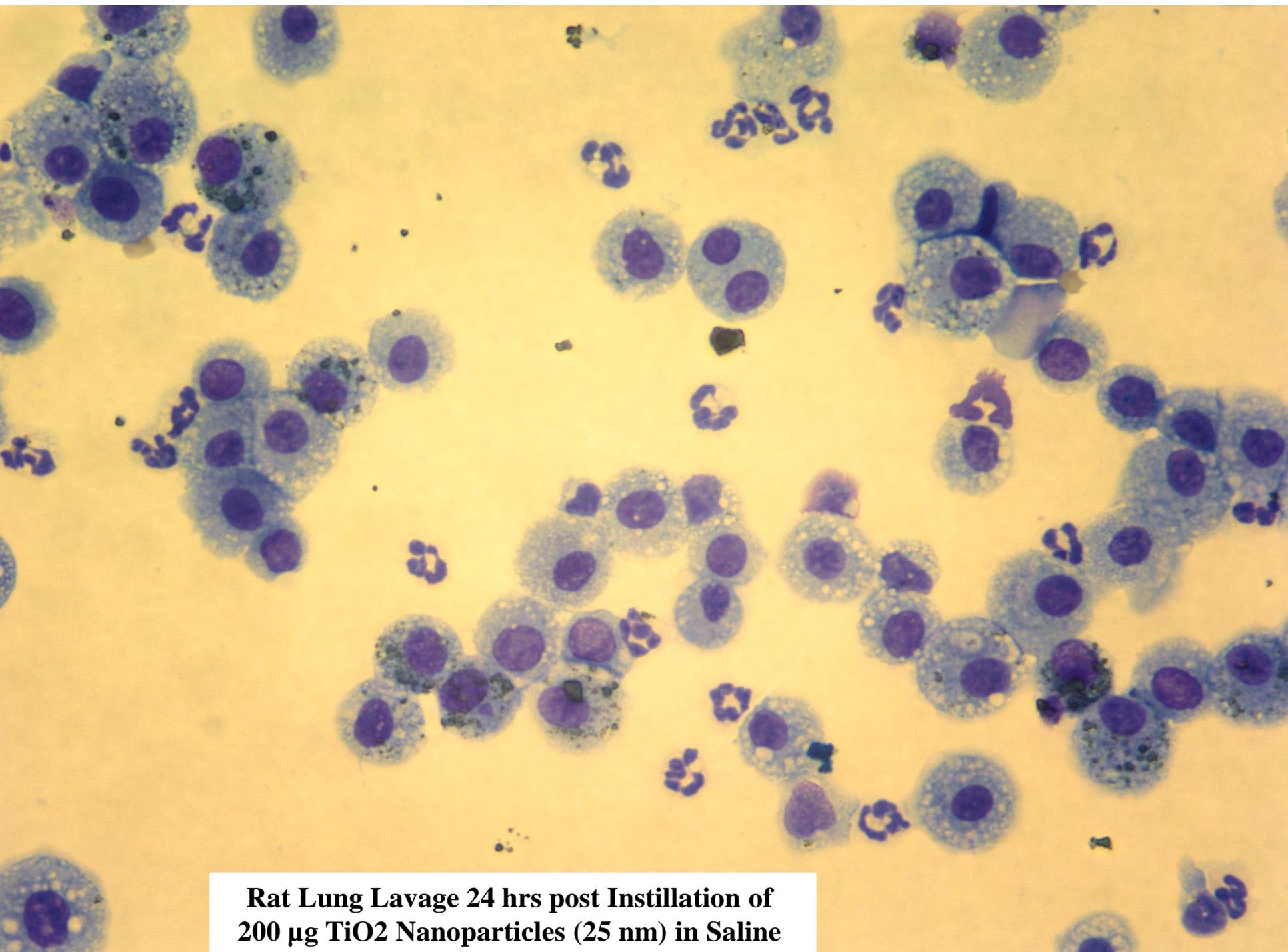
*when the same Lung Dose of 200  $\mu\text{g}$   $\text{TiO}_2$  Nanoparticles*

*is administered by **4 hour** Inhalation*

*or by **0.5 second** intratracheal Instillation*



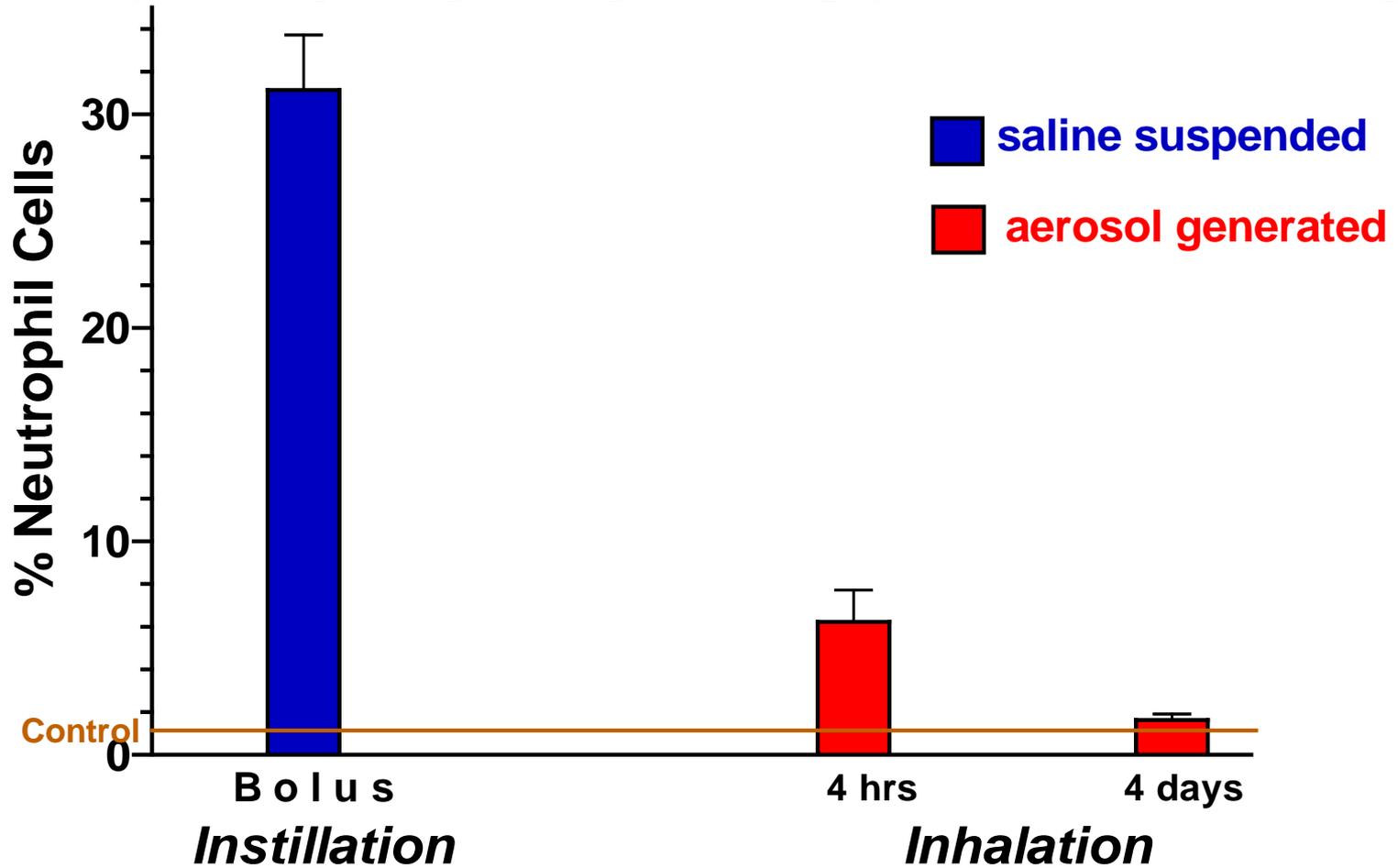
**Rat Lung Lavage 24 hrs post 4 hour Inhalation of TiO<sub>2</sub> Nanoparticles (25 nm), Lung Dose ~200  $\mu$ g**



**Rat Lung Lavage 24 hrs post Instillation of  
200  $\mu$ g TiO<sub>2</sub> Nanoparticles (25 nm) in Saline**

# Lung Lavage Neutrophils in Rats:

One day after depositing ~200ug nano TiO<sub>2</sub> by different modes of dosing



# Dose and Dose-Rate Determine Toxicity



# **Biokinetics and Translocation of Inhaled Nanoparticles**



National Institute of Health Sciences

国立医薬品食品衛生研究所



Enhancing safety and quality of life  
through scientific research

# From Exposure-Dose-Response Data to Hazard and Risk Characterization of Inhaled Nanomaterials

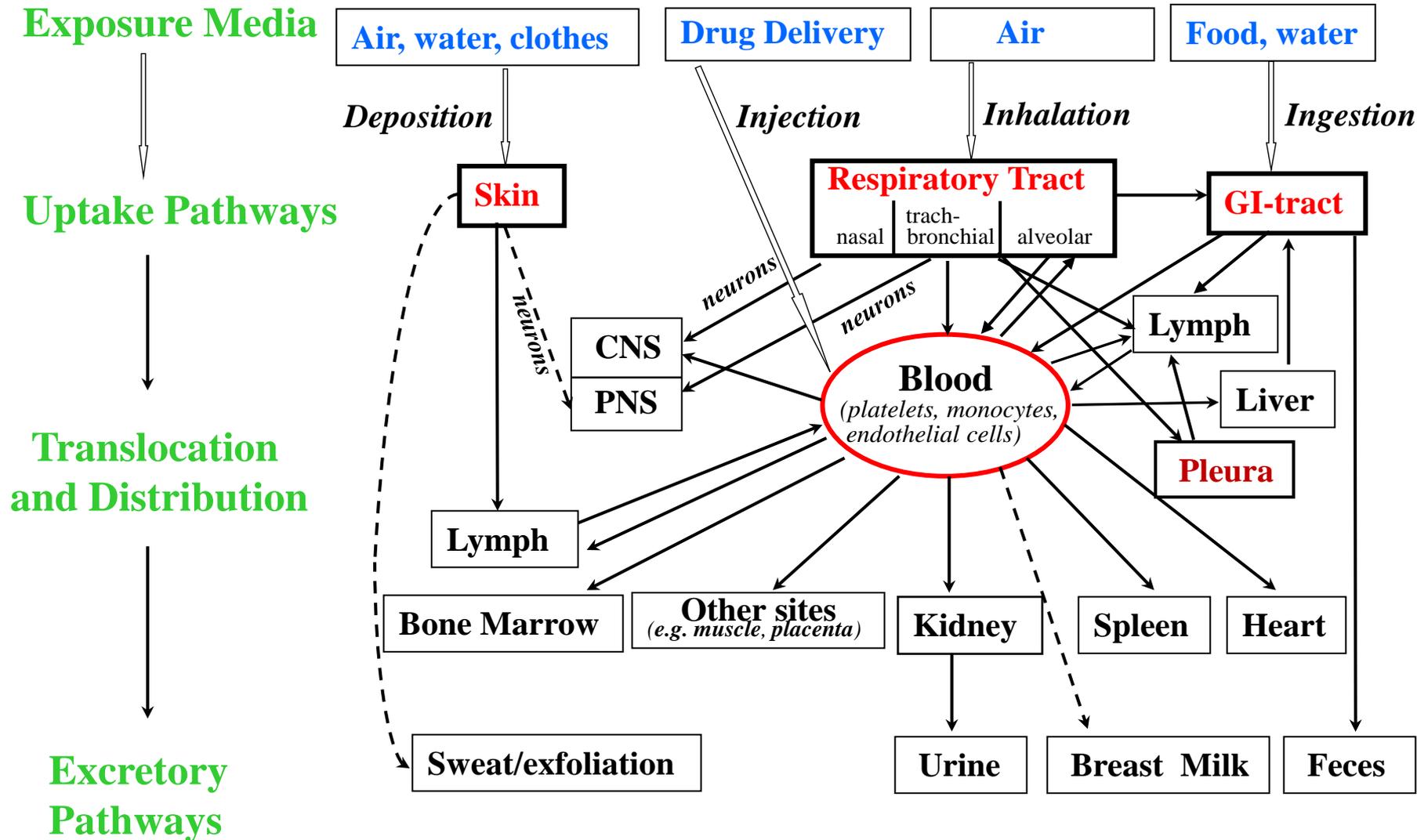
**Günter Oberdörster**  
**University of Rochester**

**April 3, 2014**



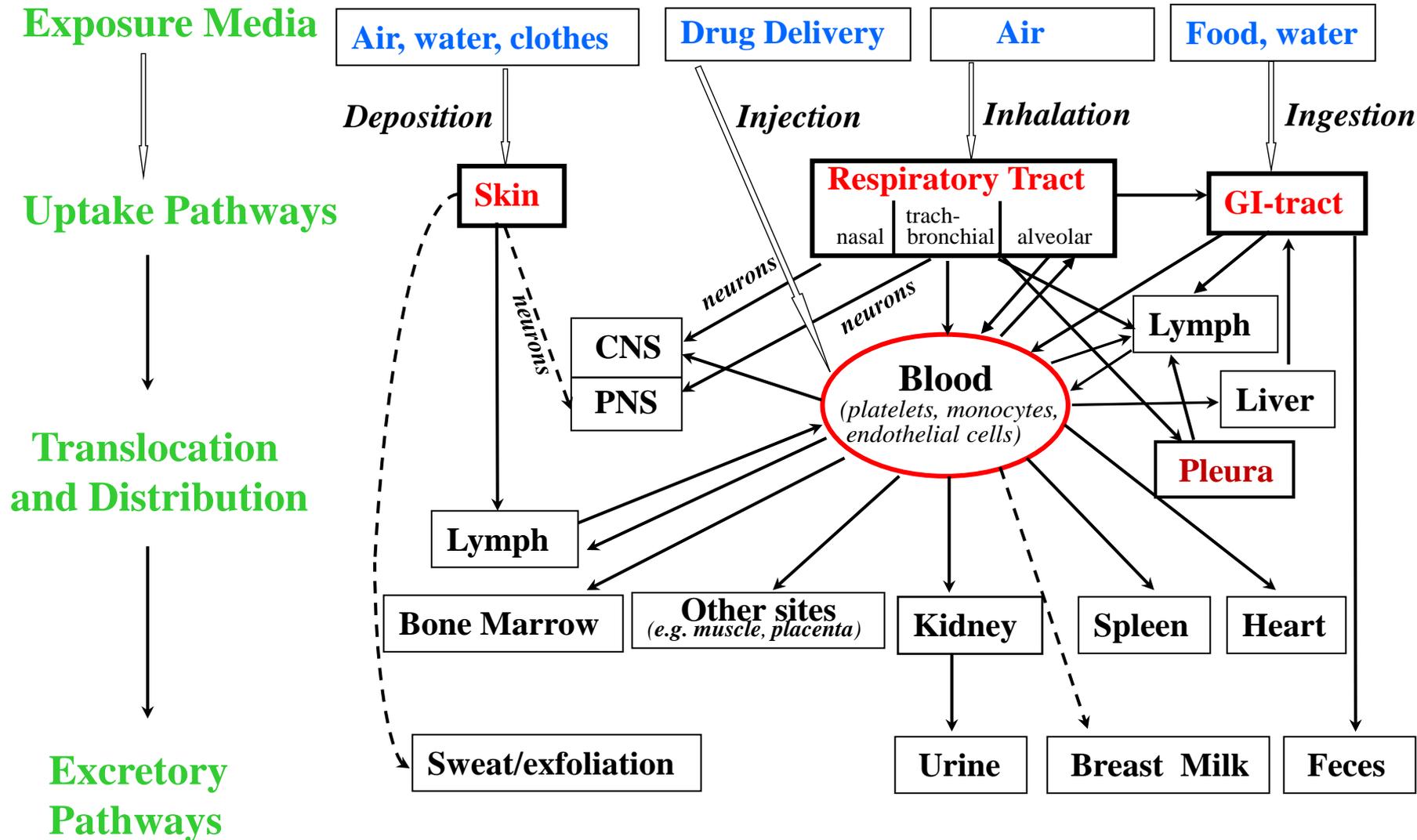
# Exposure and Biokinetics of Nanoparticles

—————> Confirmed routes  
 - - - - -> Potential routes



# Exposure and Biokinetics of Nanoparticles

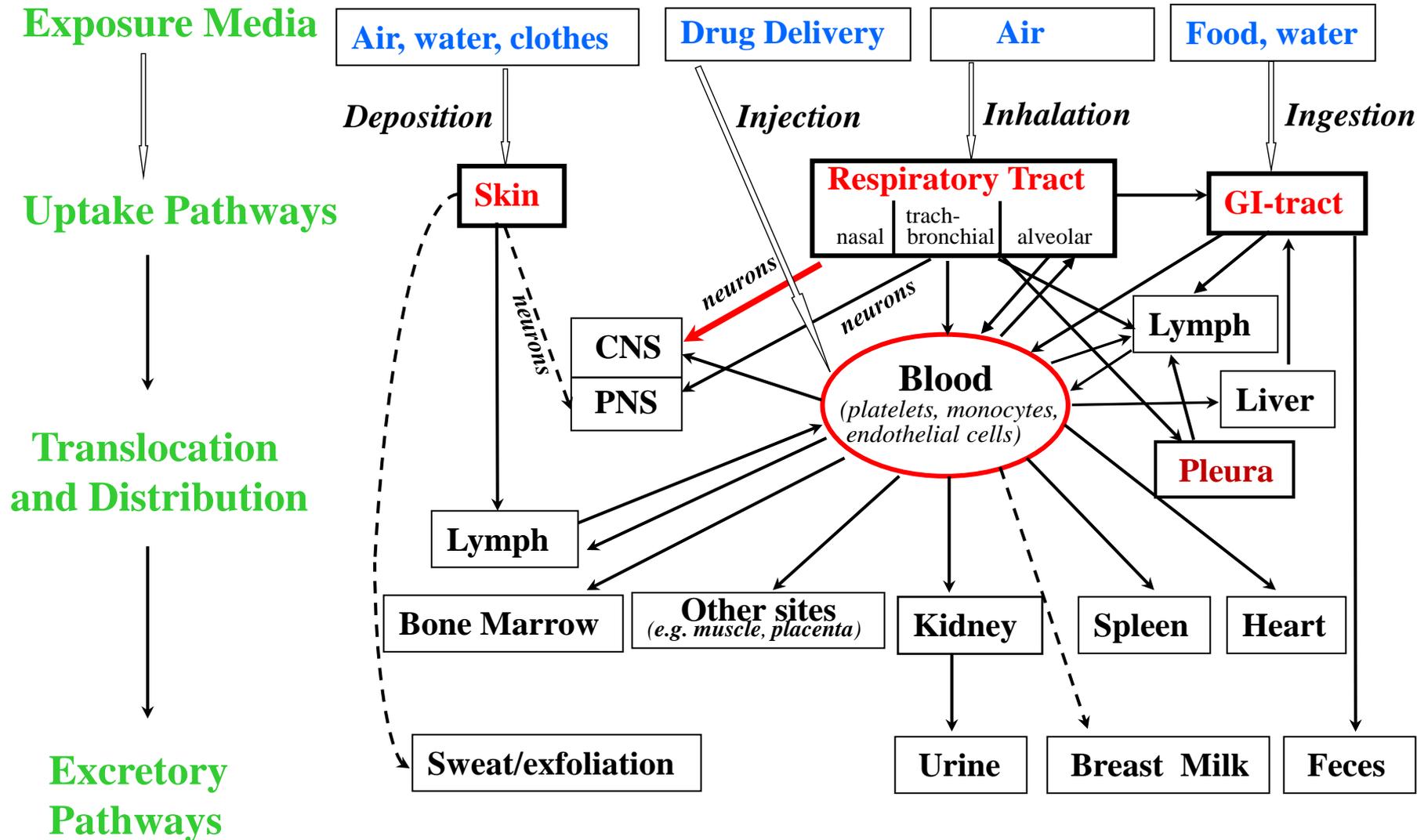
—————> Confirmed routes  
 - - - - -> Potential routes



*Translocation rates are very low!*

# Exposure and Biokinetics of Nanoparticles

—————> Confirmed routes  
 - - - - -> Potential routes



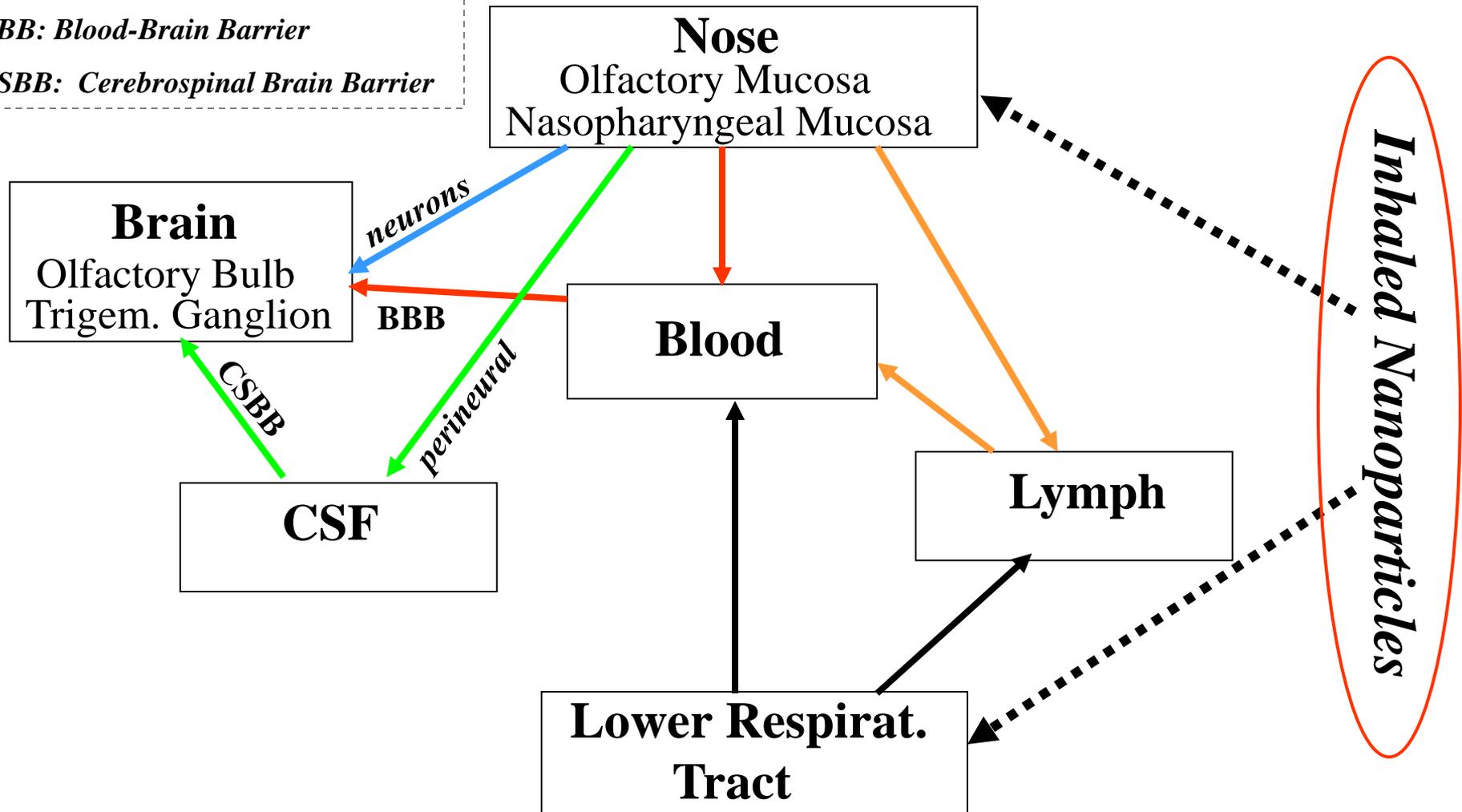
*Translocation rates are very low!*

# FROM RESPIRATORY TRACT TO **BRAIN**: POTENTIAL TRANSLOCATION PATHWAYS OF NANOPARTICLES

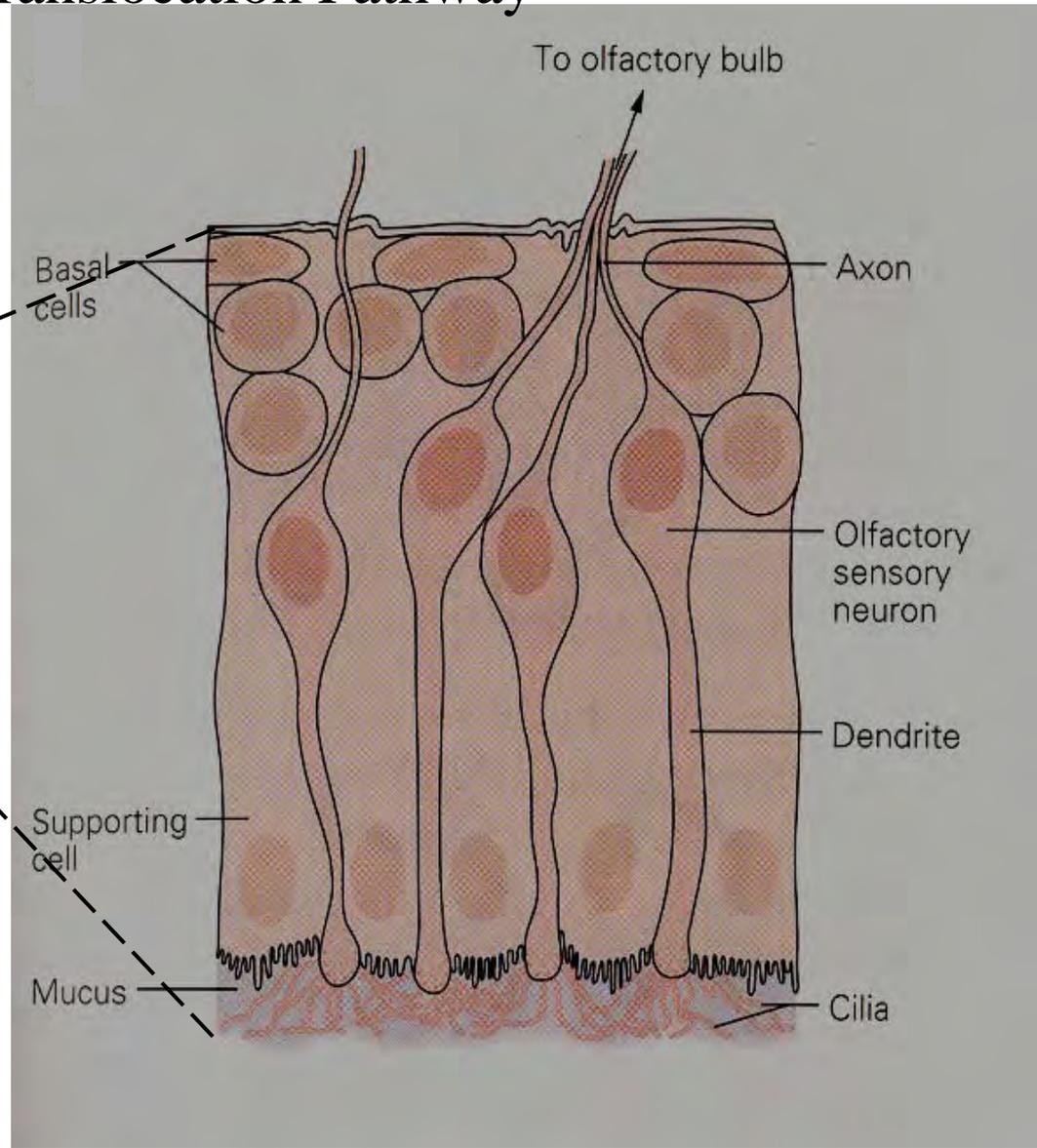
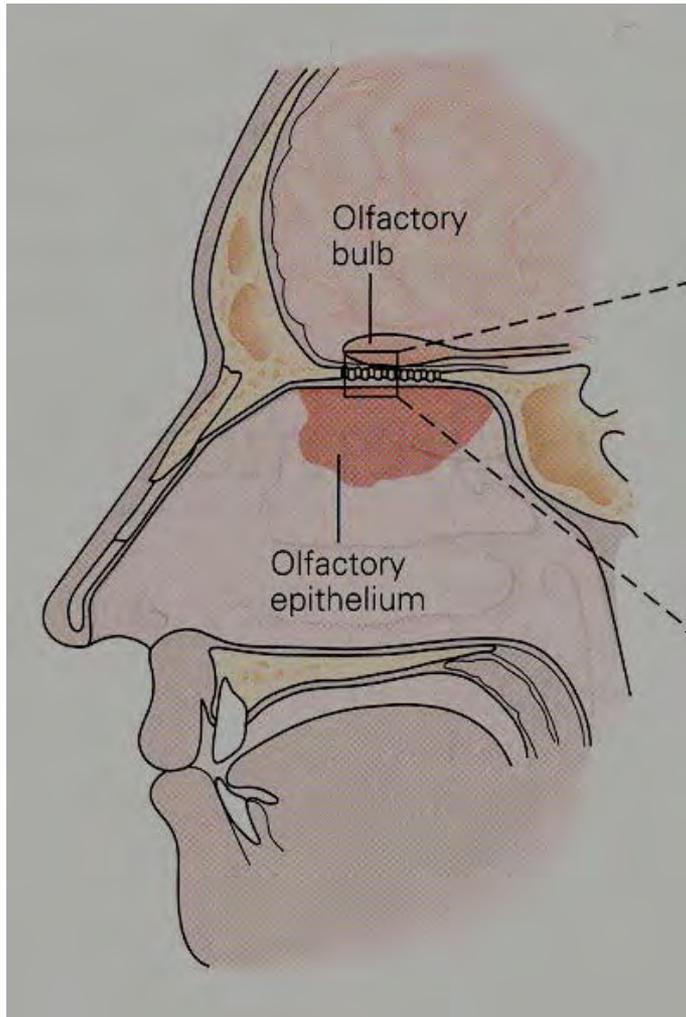
*CSF: Cerebrospinal Fluid*

*BBB: Blood-Brain Barrier*

*CSBB: Cerebrospinal Brain Barrier*

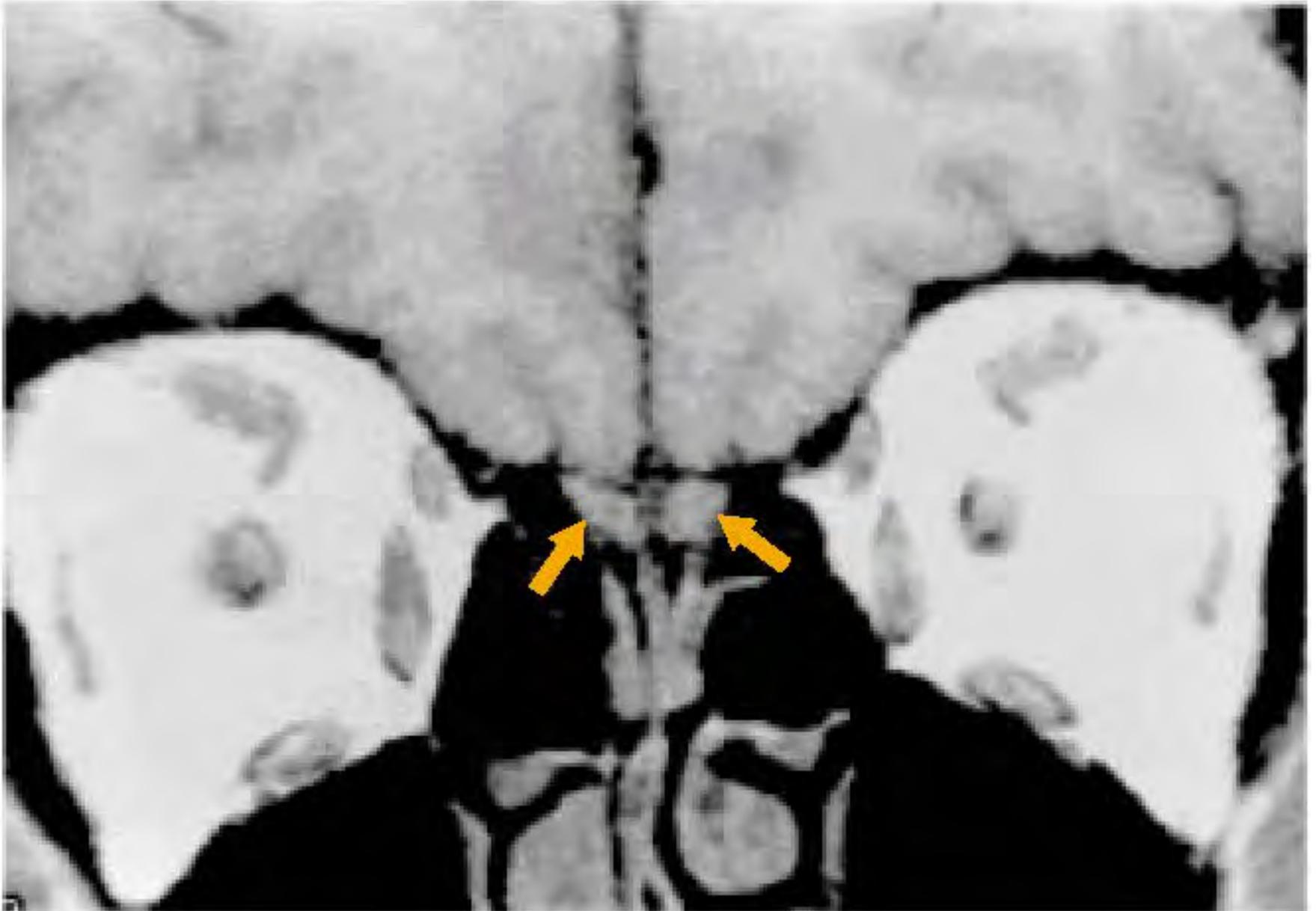


# Olfactory Nerve Translocation Pathway

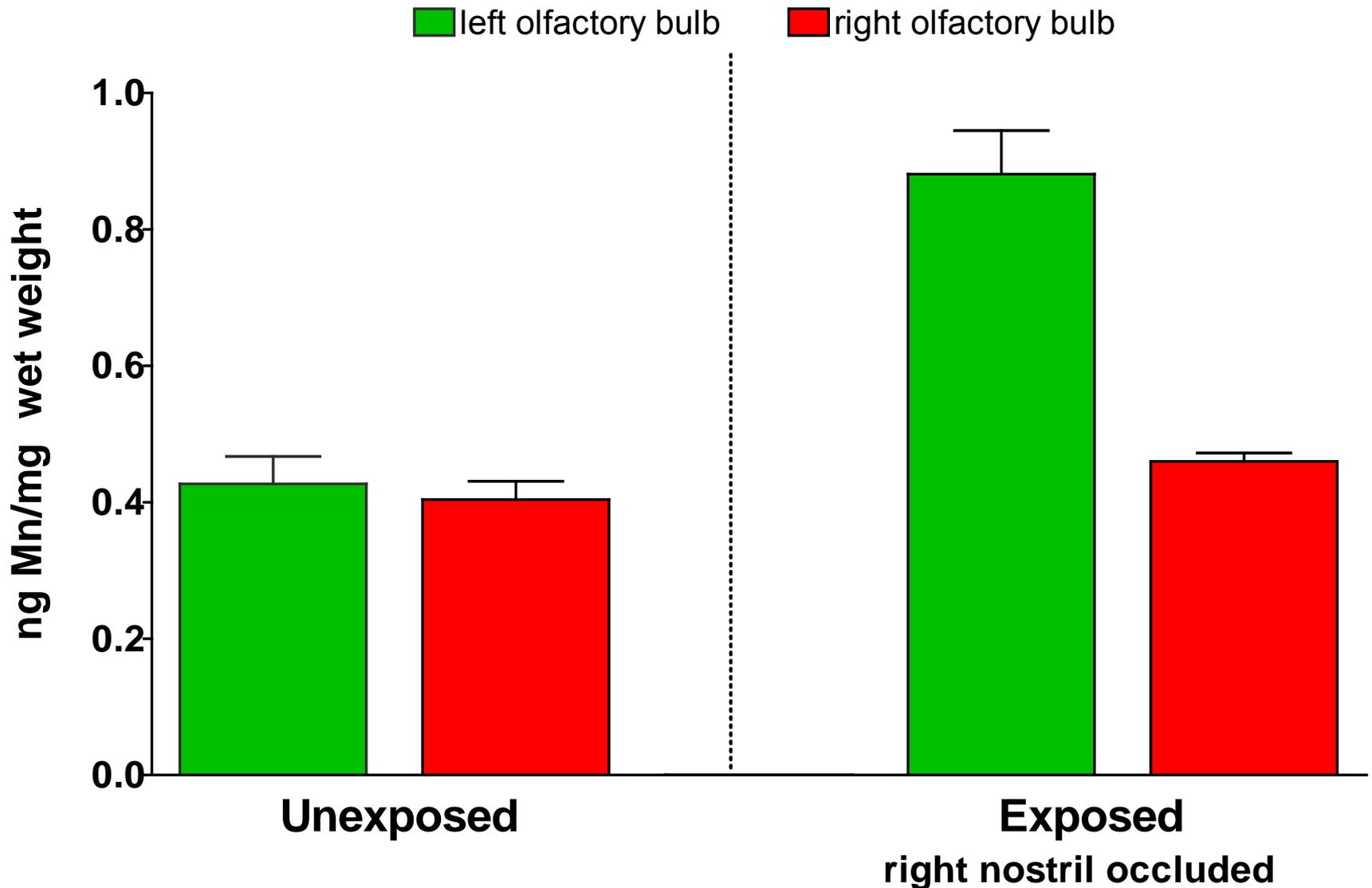


# MRI Scan of Olfactory Bulbs

*(from Turetsky et al., 2003)*

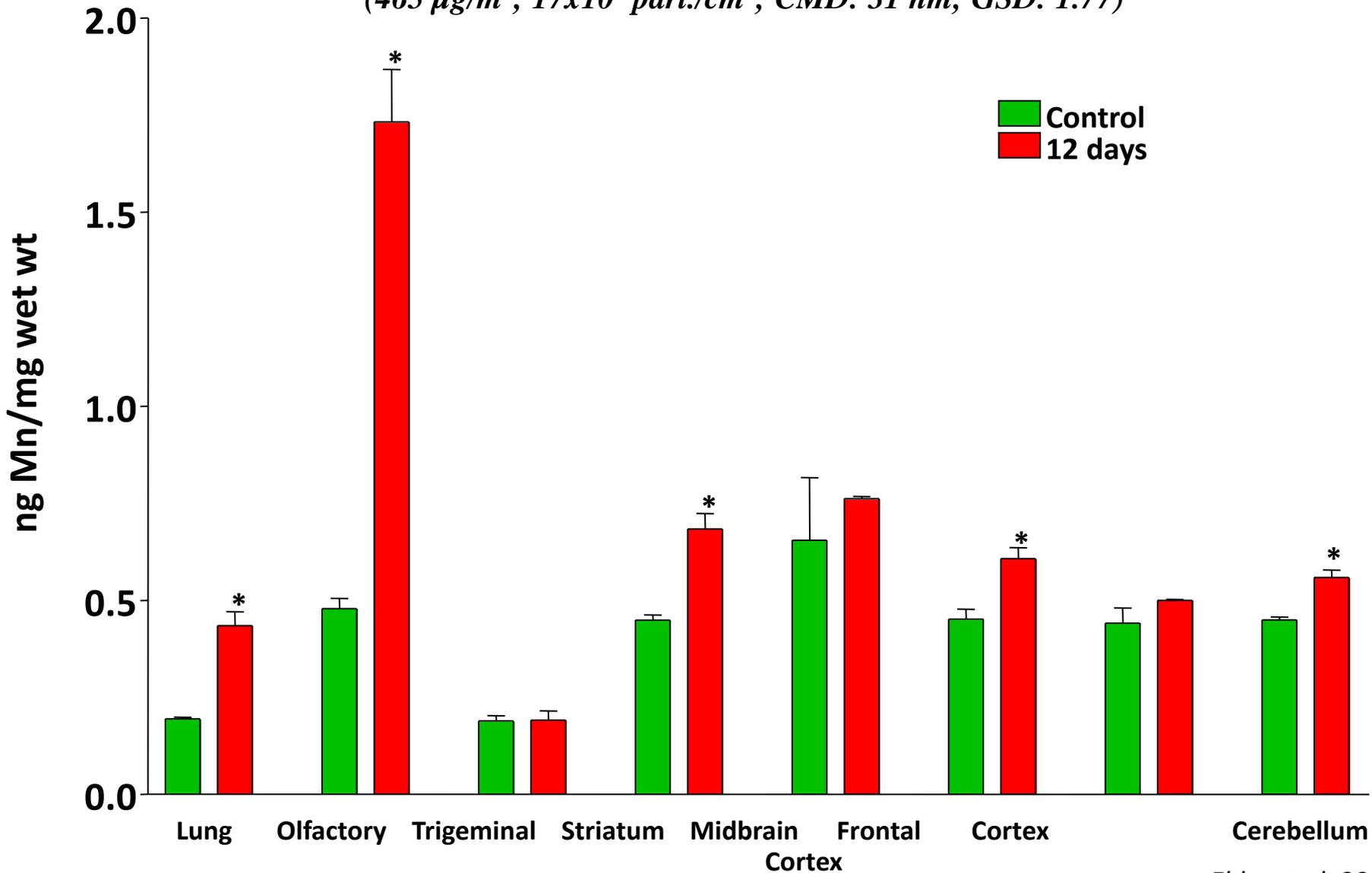


**Rat, Right Nostril Occlusion Model:**  
*Accumulation of Mn in Right and left Olfactory Bulb 24 Hours after Exposure to Ultrafine (~30nm) Mn Oxide Particles (n=3-5, mean+/-SD)*



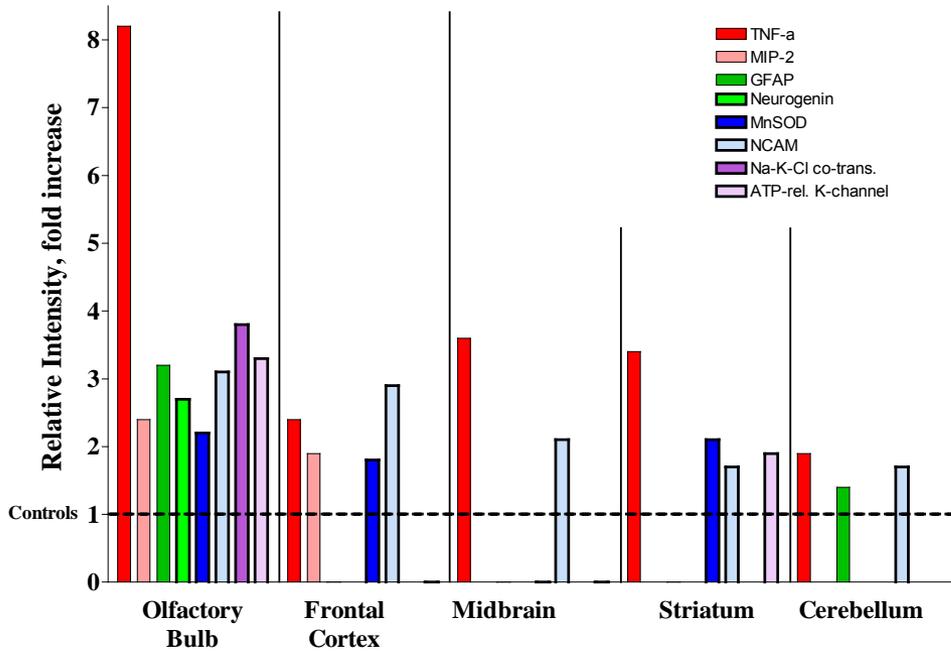
***Mn concentration in lung and brain regions of rats following  
12 days ultrafine Mn-oxide exposure (mean +/- SD)***

*(465  $\mu\text{g}/\text{m}^3$ ;  $17 \times 10^6$  part./ $\text{cm}^3$ ; CMD: 31 nm; GSD: 1.77)*

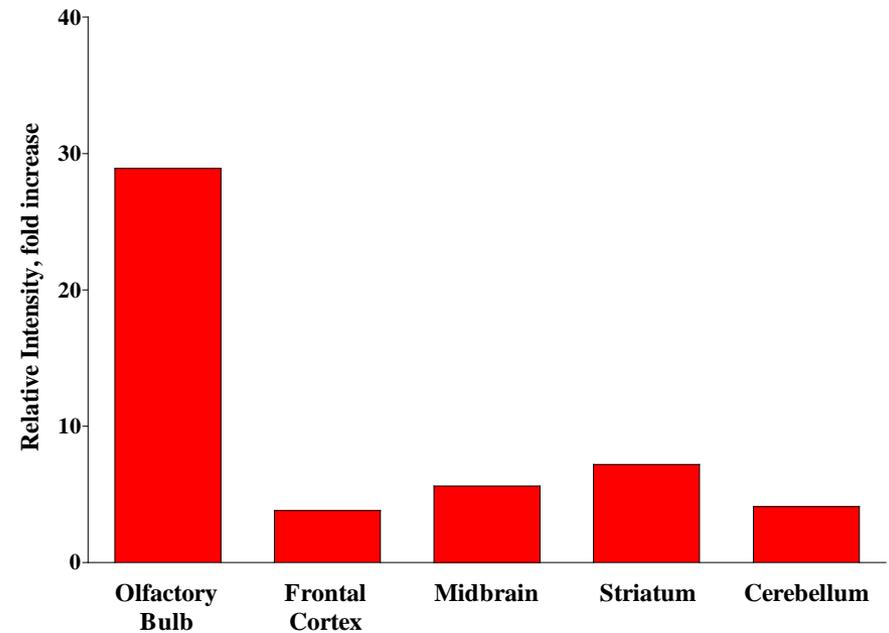


# Inflammation in the Brain Regions where Mn Signal was Found

*mRNA Levels*



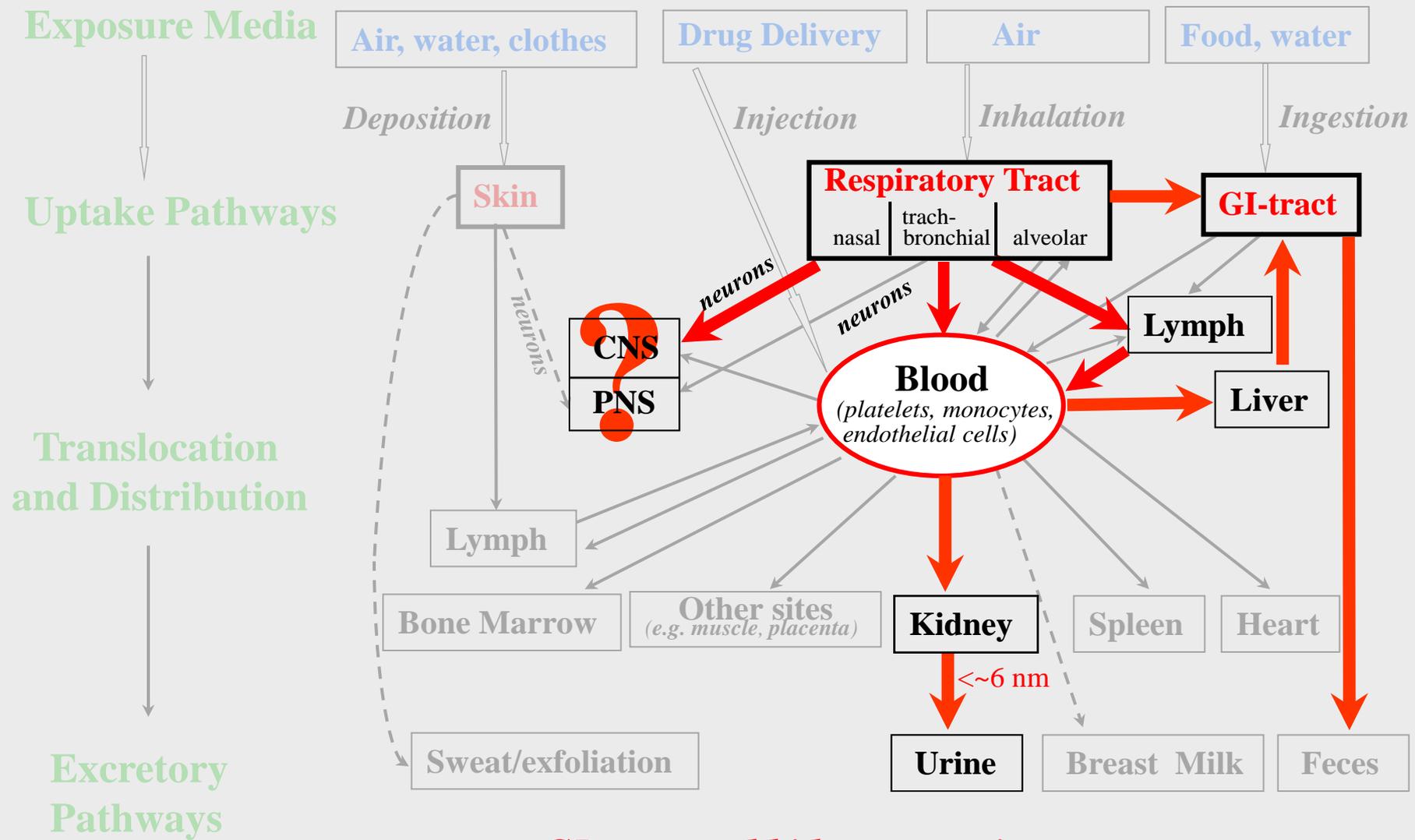
*TNF- $\alpha$  Protein*



# Exposure and Biokinetics of Nanoparticles

## Translocation and Elimination Pathways from Respiratory Tract

—> Confirmed routes  
- - -> Potential routes

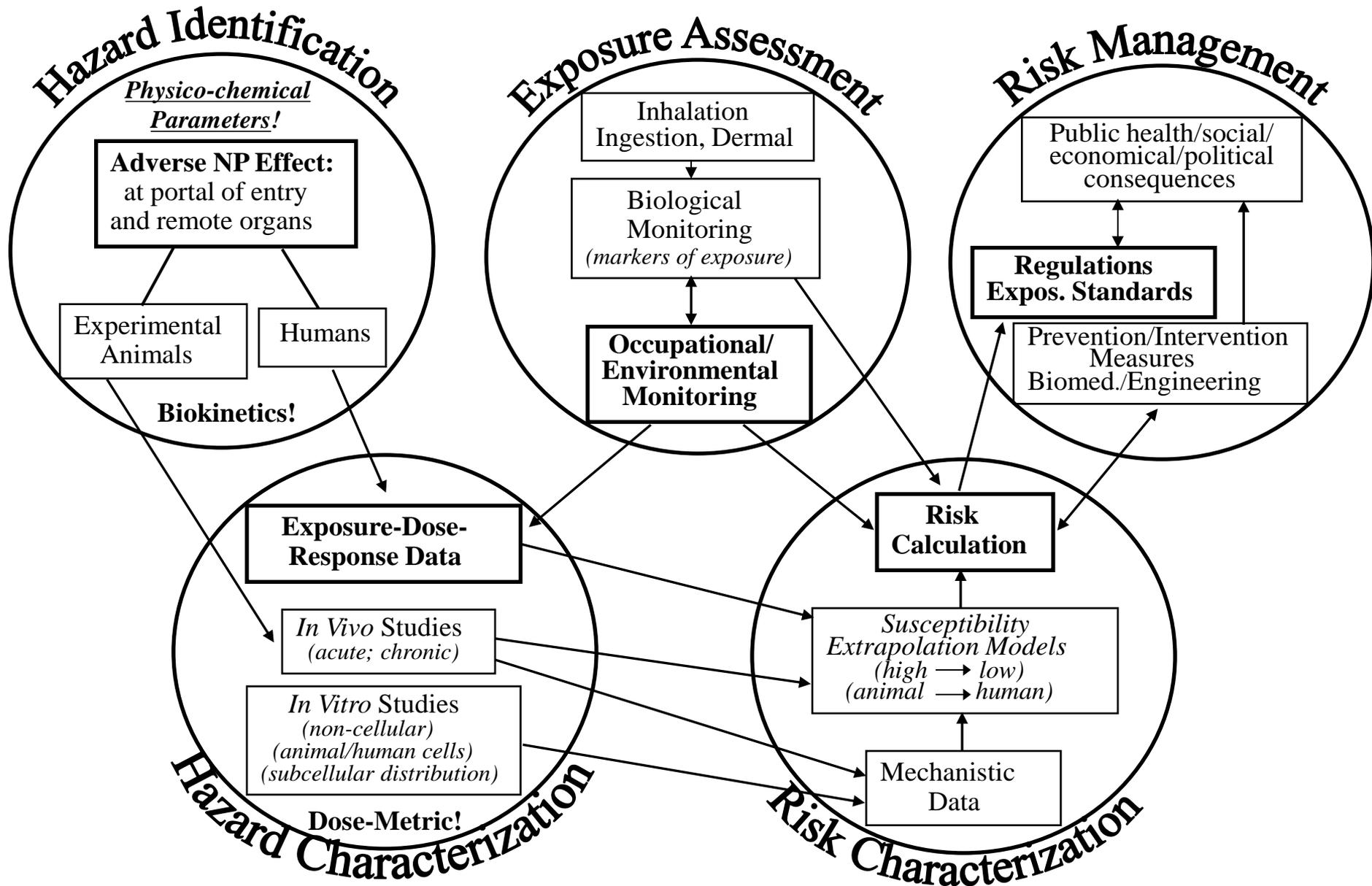


**GI-tract and kidney as major excretory organs**

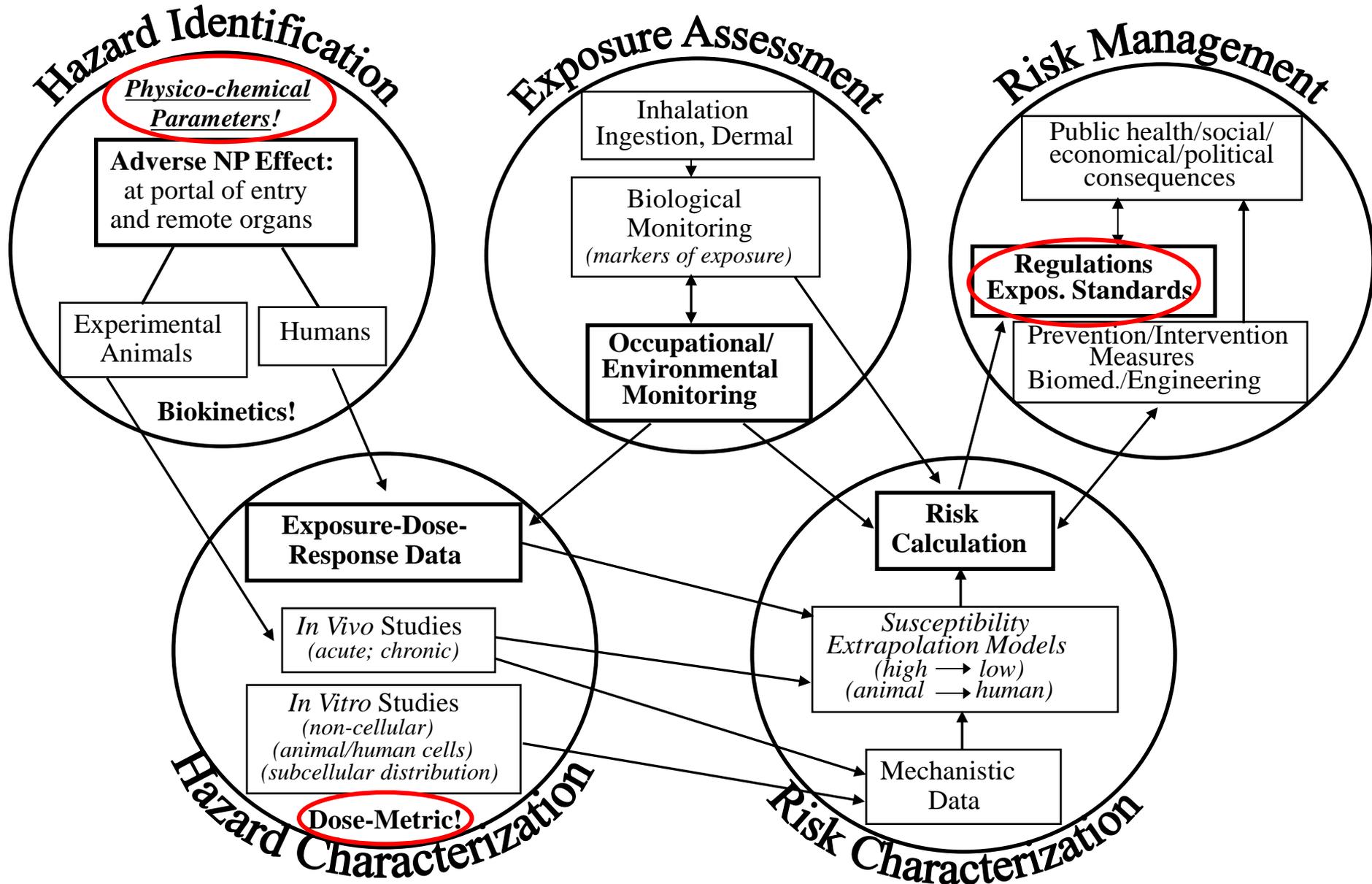
(Modified from Oberdörster et al., 2005)

# **Hazard and Risk Characterization**

# Risk Assessment and Risk Management Paradigm For Engineered Nanoparticles (NPs)



# Risk Assessment and Risk Management Paradigm For Engineered Nanoparticles (NPs)



# Concepts of Nanomaterial Toxicity Testing:

*Considering Exposure and Hazard for Risk Assessment*

*Exposure – Dose - Response*

*Dose - Response*

long-term goal  
prediction

extrapolation  
prediction

prediction  
validation

*in vivo*  
**Humans**

*Workplace*  
*Laboratory*  
*Consumer*

*Phys-chem. Properties*  
*Target Organs*  
*Respirability*

*NOAELs; OELs;*  
*HECs*

*in vivo*  
**Animals (Inhal/  
Bolus)**

*Biokinetics*  
*(translocation;*  
*corona formation)*  
*Dose-Response*

*Phys-chem. Properties*  
*Endpoints; Ref. Material*  
*Hi-Lo Dose; Relevancy*

*Mechanisms*  
*Reproducibility*

*in vitro*  
**Bolus; ALI**

*Target cells,*  
*Tissues*  
*Dose-Response*

*inhalation; oral; dermal*  
*dosimetry*

*pristine; dispersed*  
*dosimetry*

Long-term

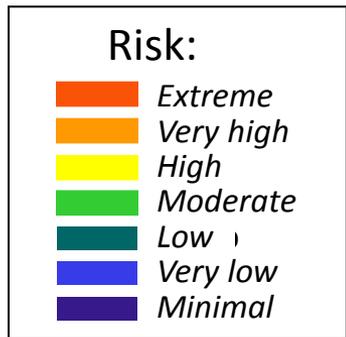
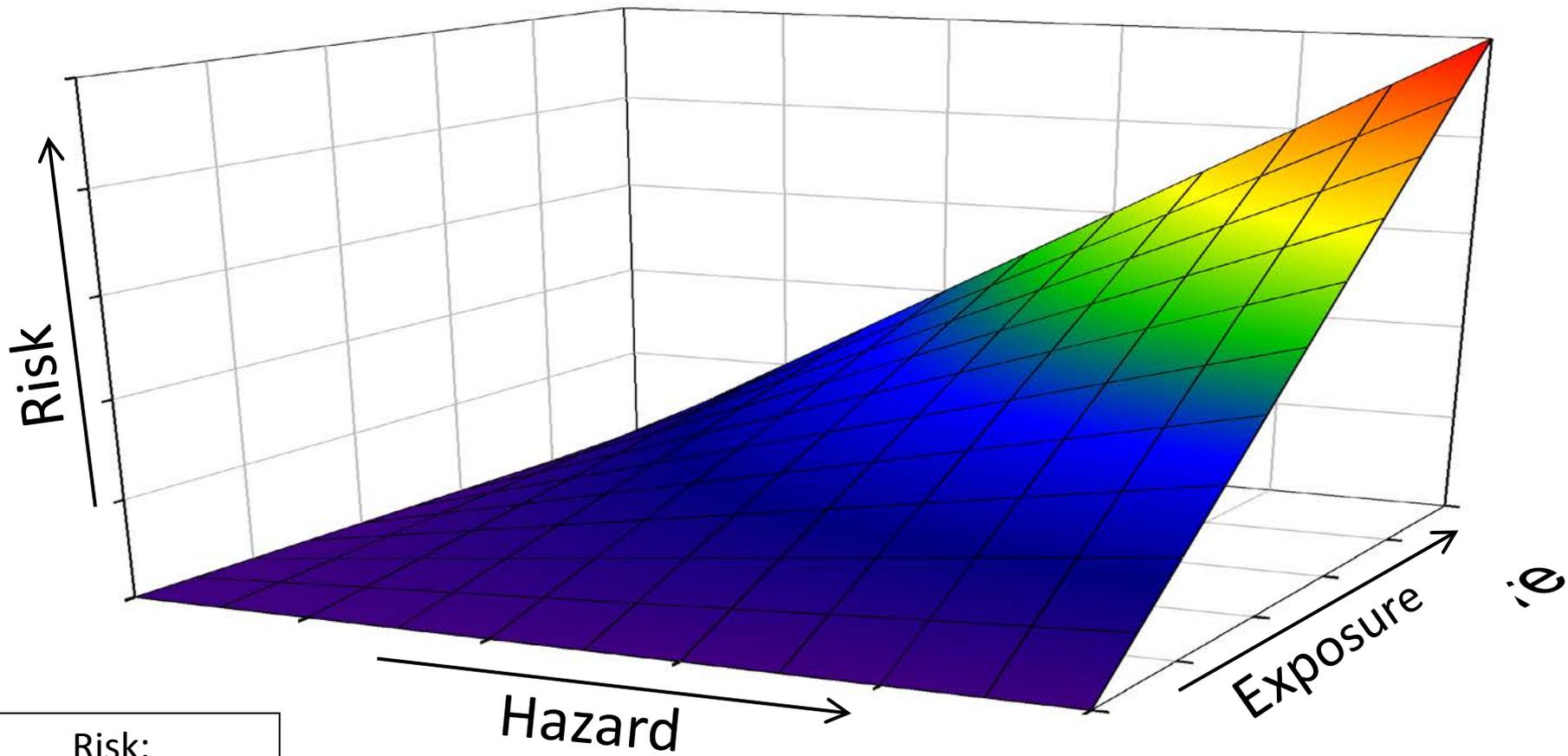
*In silico*  
*models*

**Exposure** (*assessment*)

**Hazard** (*characterization*)

**Risk Assessment**

$$\text{Risk} = f(\text{hazard}; \text{exposure})$$



# *Subchronic Carbon Nanotube/Nanofiber Inhalation Studies in Rats*

## Inhalation Toxicity of Multiwall Carbon Nanotubes in Rats Exposed for 3 Months

Lan Ma-Hock,\* Silke Treumann,\* Volker Strauss,\* Sandra Brill,\* Frederic Luizi,† Michael Mertler,‡ Karin Wiench,\* Armin O. Gamer,\* Bennard van Ravenzwaay,\*<sup>1</sup> and Robert Landsiedel\*

*\*Product Safety, BASF SE, 67056 Ludwigshafen, Germany; †Nanocyl S. A., 5060 Sambreville, Belgium; and ‡Process Engineering, BASF SE, 67056 Ludwigshafen, Germany*

TOXICOLOGICAL SCIENCES **112(2)**, 468–481 (2009)

## Subchronic 13-Week Inhalation Exposure of Rats to Multiwalled Carbon Nanotubes: Toxic Effects Are Determined by Density of Agglomerate Structures, Not Fibrillar Structures

Jürgen Pauluhn<sup>1</sup>

*Department of Inhalation Toxicology, Institute of Toxicology, Bayer Schering Pharma, Building Number 514, 42096 Wuppertal, Germany*

TOXICOLOGICAL SCIENCES **113(1)**, 226–242 (2010)

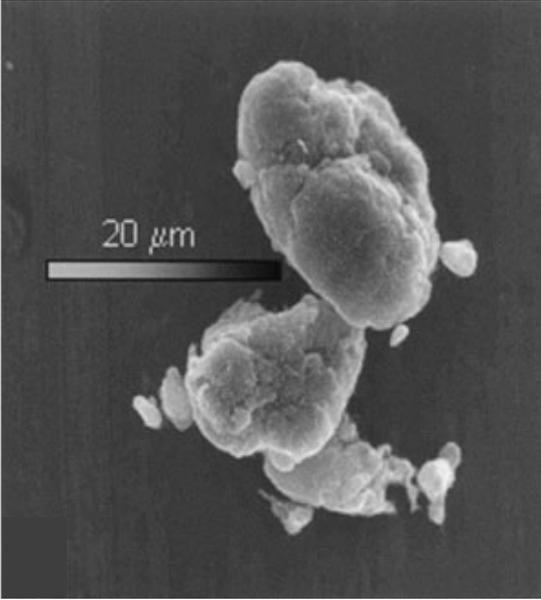
## Ninety-Day Inhalation Toxicity Study With A Vapor Grown Carbon Nanofiber in Rats

Michael P. DeLorme,\* Yukihiro Muro,† Toshihiro Arai,† Deborah A. Banas,‡ Steven R. Frame,\* Kenneth L. Reed,\* and David B. Warheit\*<sup>1</sup>

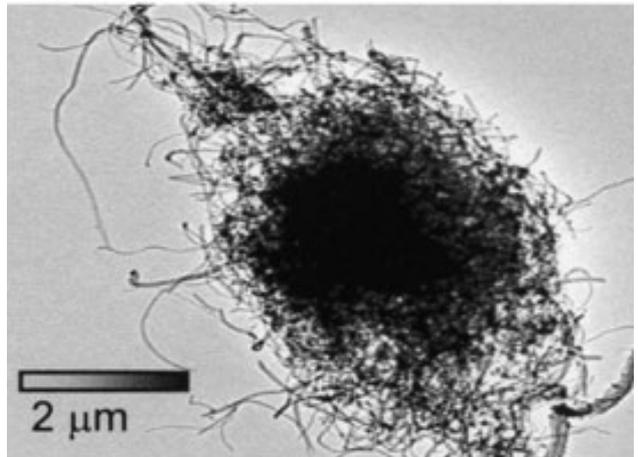
TOXICOLOGICAL SCIENCES **128(2)**, 449-460 (2012)

*SEM Images: MWCNT Used in Pauluhn (2010) and Ma-Hock et al. (2009) Inhalation Studies*

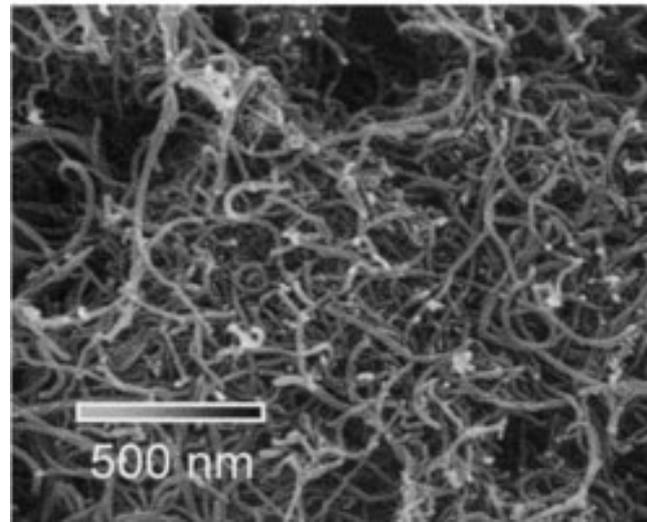
Aerosol



Micronized and Dispersed

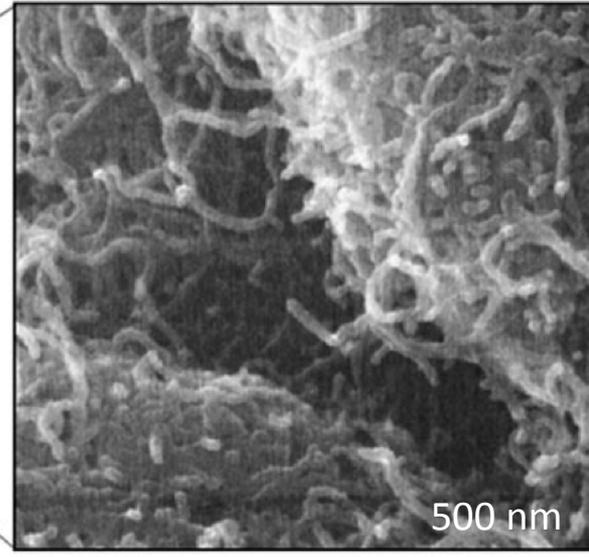
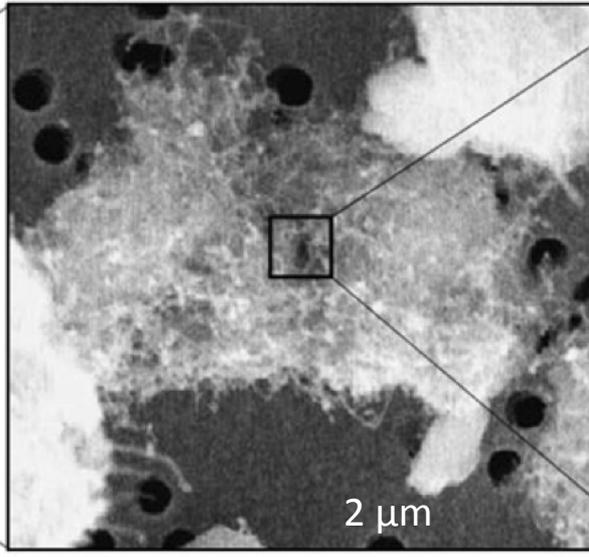
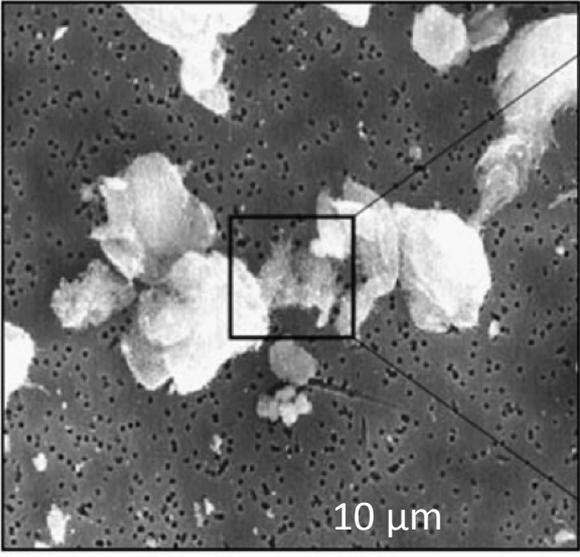


Bulk



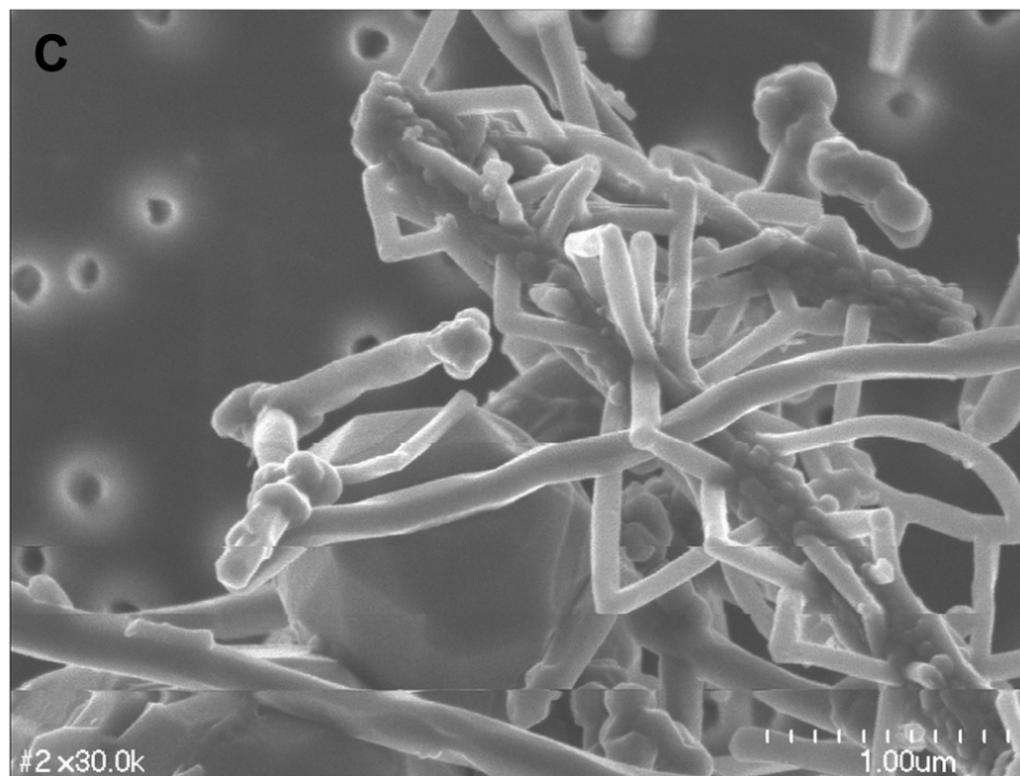
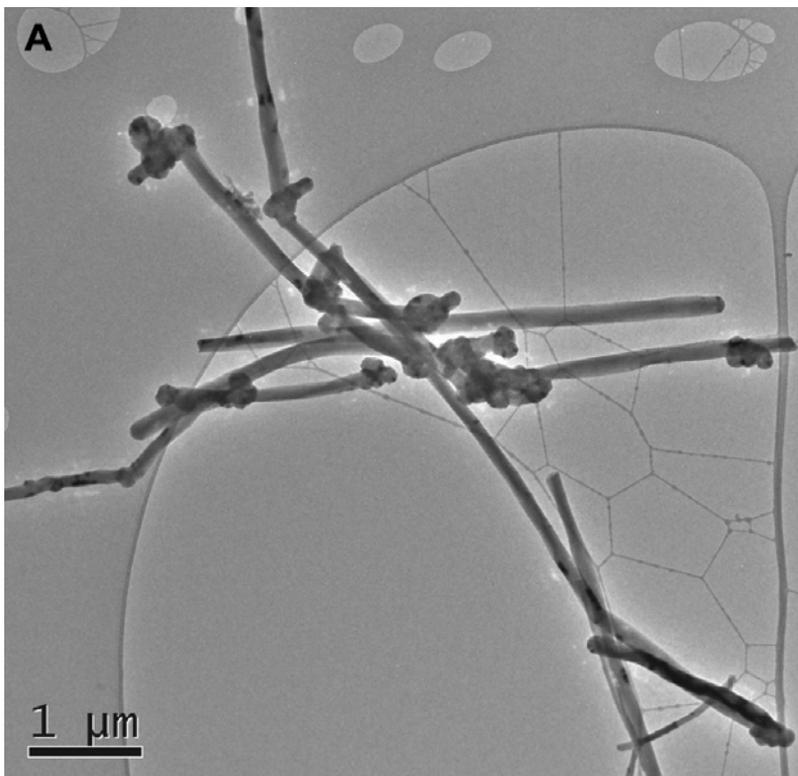
Pauluhn, 2010

Aerosol Collected from Test Atmosphere



Ma-Hock et al., 2009

# Carbon Nanofiber (CNF) Aerosol of 90-Day Rat Inhalation Study, *DeLorme et al., 2012*



# 90-Day Rat Inhalation Studies with MWCNT and CNF, Exposure-Dose-Response Comparison

|                                  | <u>Ma-Hock et al. (2009)</u> | <u>Pauluhn (2010)</u>       | <u>DeLorme et al. (2012)</u> |
|----------------------------------|------------------------------|-----------------------------|------------------------------|
| <u>Material</u>                  | MWCNT (Nanacyl NC7000)       | MWCNT (Baytubes)            | CNF (VGCF-H)                 |
| <hr/>                            |                              |                             |                              |
| <u>Characterization</u>          |                              |                             |                              |
| Length/diameter, nm              | 100-10,000 /5-15 nm          | 200-1000/10 nm              | 1000-14000/40 -350 nm        |
| Impurities                       | 9.6% Al; <0.2% Co            | ~0.5% Co                    | 0.003% Fe                    |
| BET surf area, m <sup>2</sup> /g | 250 – 300                    | 255                         | 13.8                         |
| Packing dens, g/cm <sup>3</sup>  | 0.043                        | 0.11 – 0.31                 | 0.077                        |
| <u>Exposure</u>                  |                              |                             |                              |
| Conctr, mg/m <sup>3</sup>        | 0; 0.1; 0.5; 2.5             | 0, 0.1; 0.4; 1.5; 6         | 0.54; 2.5; 25                |
| Ret. Lung Burden                 | No                           | Yes                         | No                           |
| <u>Response</u>                  |                              |                             |                              |
| Lung weight (90 days)            | + 1%; + 23%; + 81% (males)   | +0; + 12; +27; +61% (males) | -2; +8; +22 (males)          |
| BAL-PMN (90 days)                | Not reported                 | ~0.5; 3.8; 13; 19%          | 1.4; 2.7; 11%                |
| <u>Evaluation</u>                |                              |                             |                              |
| NOAEL                            | No                           | 100 µg/m <sup>3</sup>       | 540 µg/m <sup>3</sup>        |
| LOAEL                            | 100 µg/m <sup>3</sup>        | 400 µg/m <sup>3</sup>       | 2500 µg/m <sup>3</sup>       |

# Approach for Risk Assessment

## Based on Subchronic (3 months) Rodent Inhalation Studies

- subchronic multi-concentration inhalation studies with CNT and CNF in rats and results of “**positive**” and “**negative**” reference materials as benchmarks
- select sensitive endpoints of response (*quantitative, functional preferable*)
- establish **Exposure – Dose - Response** relationships by different dosemetrics (*particle-mass, -surface area, -volume, -number*)
- establish: **hazard ranking** against *pos. and neg. control, by different dosemetrics*  
**risk: subchronic** “safe” level for rat: *BMD analysis using NOAEL; LOAEL*
- estimate **chronic** “safe” effect level for rat (*based on accumulated lung burden*)
- use dosimetric extrapolation to estimate **HEC** (*Human Equivalent Concentration*)

Comparing MWCNT and CNF results with  
two other subchronic rat inhalation studies:

**ultrafine carbon black**

*negative*

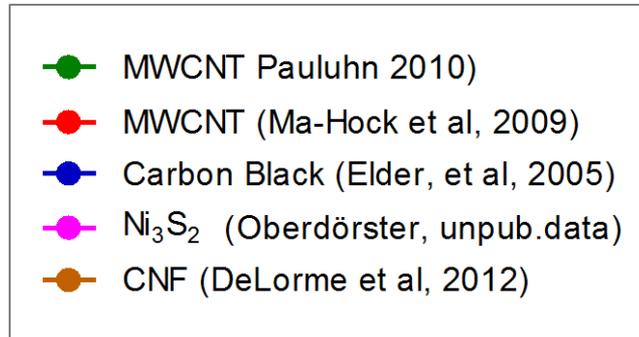
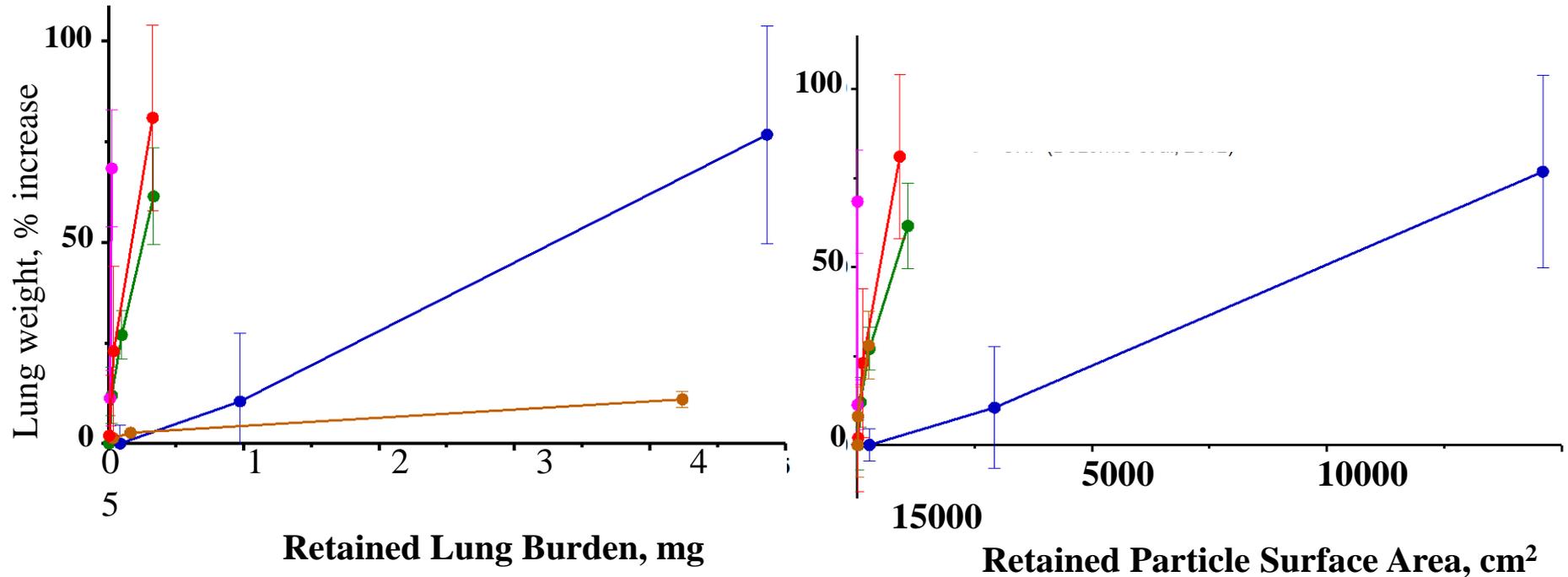
**nickel subsulfide**

***Benchmark materials***

*positive*

# Dose-Response relationships of 3-month inhalation studies in rats with MWCNT, CNF and CB

– Lung weight dose-responses based on retained lung burden expressed as mass, surface area and volume –



# Hazard Ranking of Different (Nano)-Materials Based on Different Metrics and Steepest Slope of Exposure-Dose-Response Relationships from Subchronic Rat Inhalation Studies (endpoint: lungweight increase)

## Metric

## Ranking

*Exposure Conc.:*       $\text{CNF} = \text{CB} < \text{MWCNT-P} = \text{MWCNT-MH} < \text{Ni}_3\text{S}_2$

## *Retained Lung Burden:*

**Mass:**                       $\text{CNF} < \text{CB} < \text{MWCNT-P} = \text{MWCNT-MH} < \text{Ni}_3\text{S}_2$

**Surface area:**               $\text{CB} < \text{CNF} = \text{MWCNT-P} = \text{MWCNT-MH} < \text{Ni}_3\text{S}_2$

**Volume (bulk dens):**       $\text{CB} < \text{CNF} < \text{MWCNT-MH} = \text{MWCNT-P} < \text{Ni}_3\text{S}_2$

**Volume (mat. dens):**       $\text{CNF} = \text{CB} < \text{MWCNT-P} = \text{MWCNT-MH} < \text{Ni}_3\text{S}_2$

# Hazard Ranking of Different (Nano)-Materials Based on Different Metrics and Steepest Slope of Exposure-Dose-Response Relationships from Subchronic Rat Inhalation Studies (endpoint: lungweight increase)

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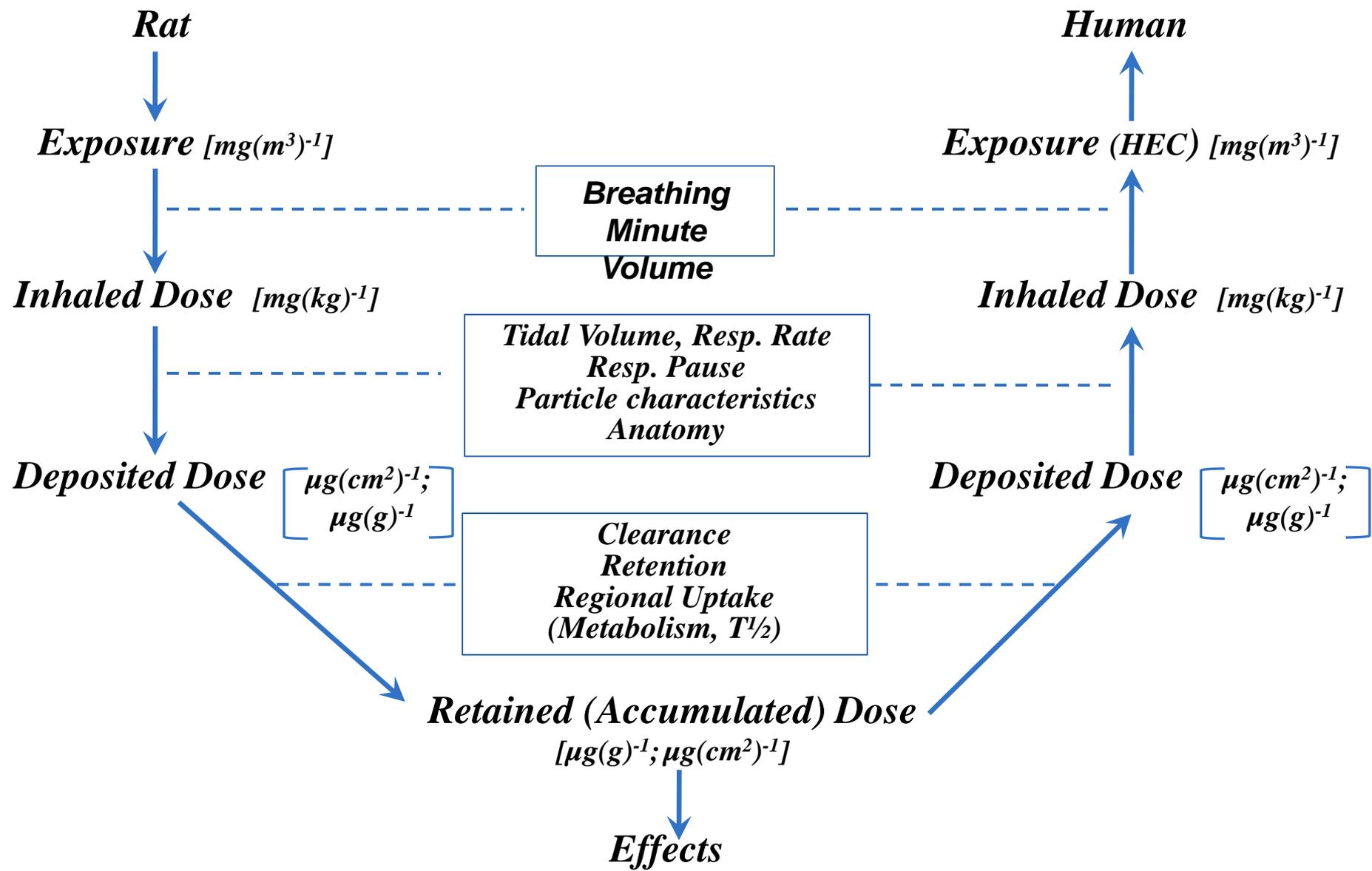
## Three Hazard Groupings:

Low:      *CB; TiO<sub>2</sub>*       $\longrightarrow < 0.3 \% \text{ lungwt. incr./cm}^2$

Medium: *MWCNT*       $\longrightarrow 0.3 - 1 \% \text{ lungwt. incr./cm}^2$

High:      *SiO<sub>2</sub>; Ni<sub>3</sub>S<sub>2</sub>*       $\longrightarrow >1 \% \text{ lungwt. incr./cm}^2$

# Dosimetric Extrapolation of Inhaled Particles from Rats to Humans

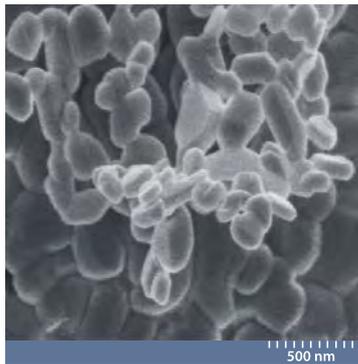


*Assumption: If retained dose is the same as in rats and humans, then effects will be the same*

# Human Health Risk of MWCNT/CNF OEL Estimates Based on Subchronic Rodent Inhalation

| Reference                          | Basis   | Endpoint   | Extrapolation Method  | OEL $\mu\text{g}/\text{m}^3$                   | Author Comments  |
|------------------------------------|---|--|---|--|--|
| <b>NIOSH, 2013</b>                 | Pauluhn, 2010<br>Ma-Hock, 2009<br>DeLorme, 2012 | Histopath.<br>Inflammation;<br>fibrosis; septal thickening | BMD analysis, dosimetric adjustment (MPPD), deposited, retained dose; HEC based on alveolar surface area; assessment factors: 20 - 60   | <b>2</b> (P)<br><b>1</b> (MH)<br><b>1</b> (DL) | Limit of quantitation:<br>$7 \mu\text{g}/\text{m}^3$   |
| <b>Aschberger, et al., 2010</b>    | Pauluhn, 2010<br>Ma-Hock, 2009                  | NOAEL (P)<br>LOAEL (MH)                                    | REACH Guidance; no correction for species differences in deposition and retention; assessment factors for LOAEC and inter-species extrapolation   | <b>2</b> (P)<br><b>1</b> (MH)                  | No definite conclusion; need for exposure data   |
| <b>Pauluhn, 2010</b>               | Baytubes®<br>Pauluhn, 2010a,b                   | Volumetric overloading of AM clearance                     | MPP dosimetric extrapolation, avoiding volume overload; $T_{1/2}$ human 1 year; normalization to bodyweight; no assessment factors  | <b>50</b>                                      | Consistent with MAK approach of $\frac{1}{2}$ subchronic rat NOAEL; Baytubes® behave similar to PSP (carbon black) |
| <b>This analysis (preliminary)</b> | Pauluhn, 2010<br>Ma-Hock, 2009<br>DeLorme, 2012 | Increase in lung weight                                    | BMD analysis; dosimetric extrapolation (MPPD) to BMCL; HEC based on Gregoratto et al. particle lung retention model using mass/lung weight metric; extrapolat. to chronic exposure; assessment factors: 12 - 16 | <b>4</b> (P)<br><b>1</b> (MH)<br><b>2</b> (DL) | MPPD estimation of deposited and retained lung burden for Ma-Hock <i>et al.</i> and DeLorme et al.                 |

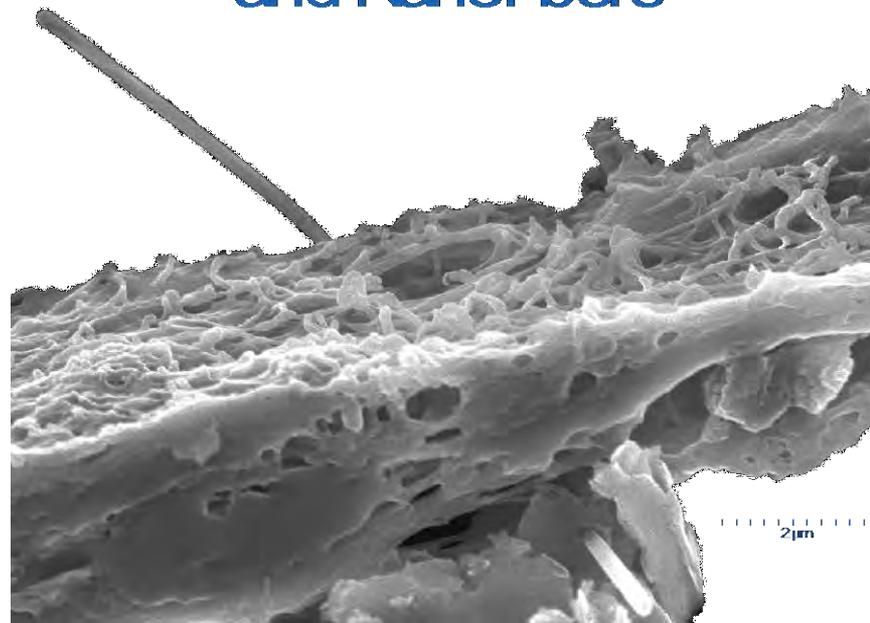
2011



**REL: Fine: 2.5 mg/m<sup>3</sup>**  
**Nano: 300 µg/m<sup>3</sup>**

2013

## Occupational Exposure to Carbon Nanotubes and Nanofibers



DEPARTMENT OF HEALTH AND HUMAN SERVICES  
Centers for Disease Control and Prevention  
National Institute for Occupational Safety and Health



**REL: 1 µg/m<sup>3</sup>**

## **CHALLENGES FOR ESTABLISHING OEL FOR CNT/CNF:**

*Workplace monitoring:* 1  $\mu\text{g}/\text{m}^3$ ; distinguishable from background?

*One generic OEL for all:* Are all CNTs and CNFs toxicologically of equal potency?

*Surface modification or functionalization,  
level of impurities, surface defects are known  
to alter toxicity*

**But:** Unless there are convincing data to the contrary,  
it is prudent to treat airborne CNTs/CNFs as highly hazardous

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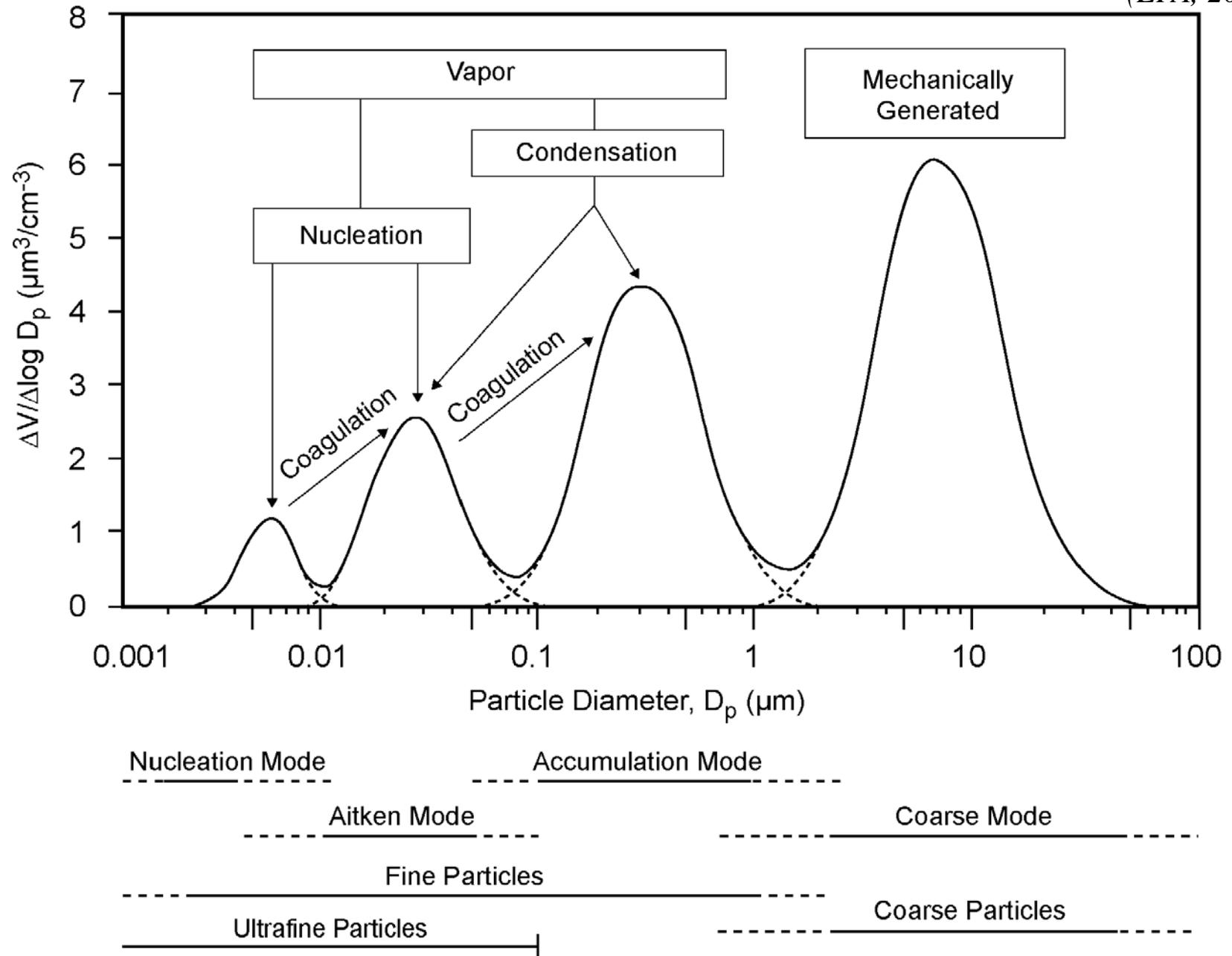
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**Desirable: Results of chronic inhalation study**

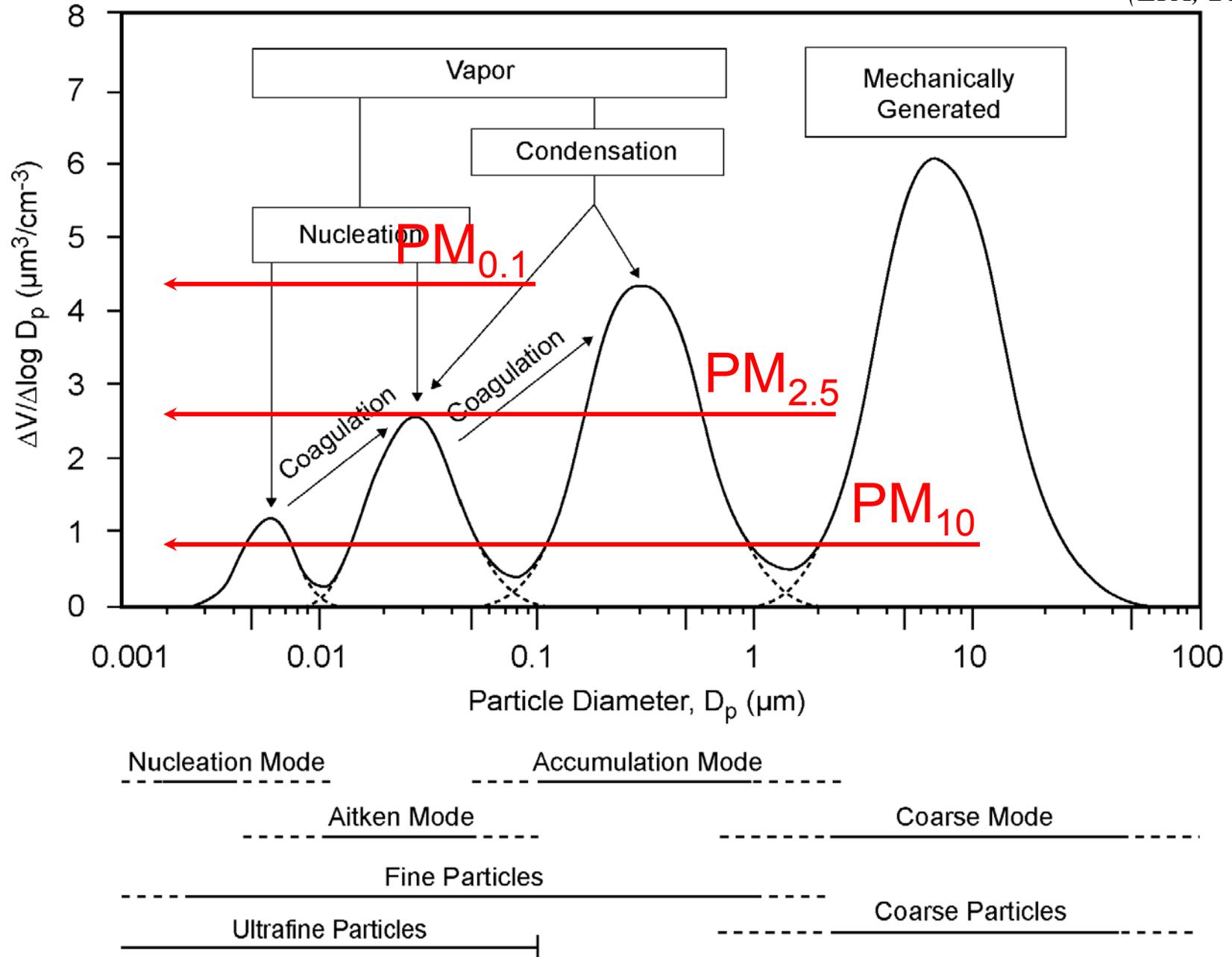
# Idealized Size Distribution of Traffic-Related Particulate Matter

(EPA, 2004)



# Idealized Size Distribution of Traffic-Related Particulate Matter

(EPA, 2004)



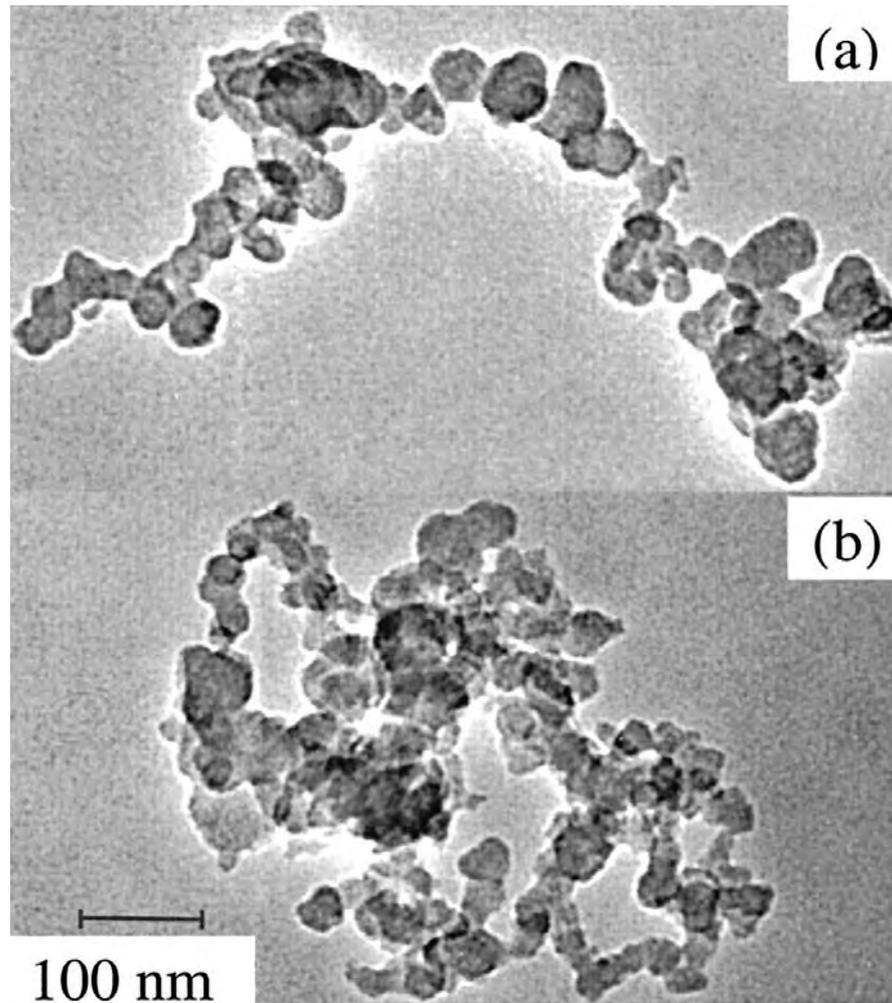


***Source emission inventory for South Coast Air Basin  
surrounding L.A.:***

**Primary ultrafine particle emission rate:  
13 tonnes per day**

*(Cass et al, 2000)*

**Chain aggregates of ultrafine particles from stage 7. *a* shows a short chain with low  $D_f$  and *b* a longer chain with high  $D_f$ . The sample was taken on Feb 20, 2001, at the San Jacinto Air Quality Management District (AQMD) site.**



**Xiong, C. and Friedlander, S. K. (2001) Proc. Natl. Acad. Sci. USA 98, 11851-11856**

# U of Minnesota Mobile Laboratory

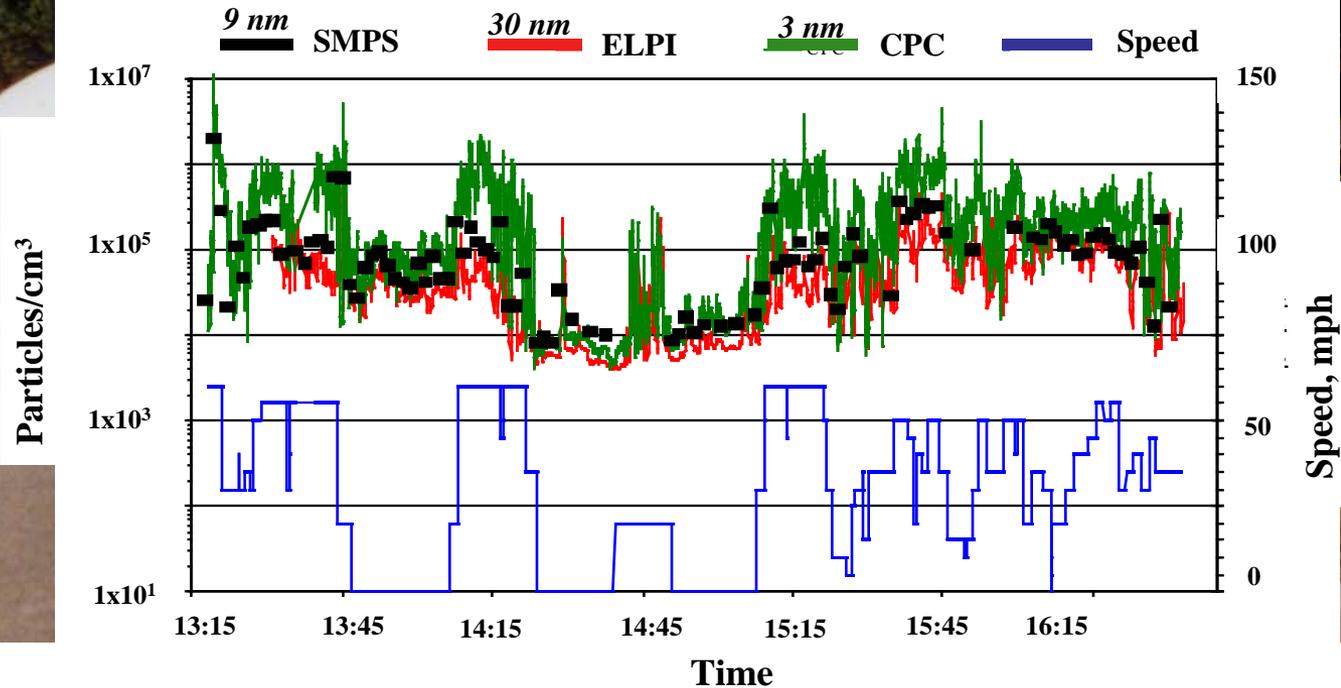


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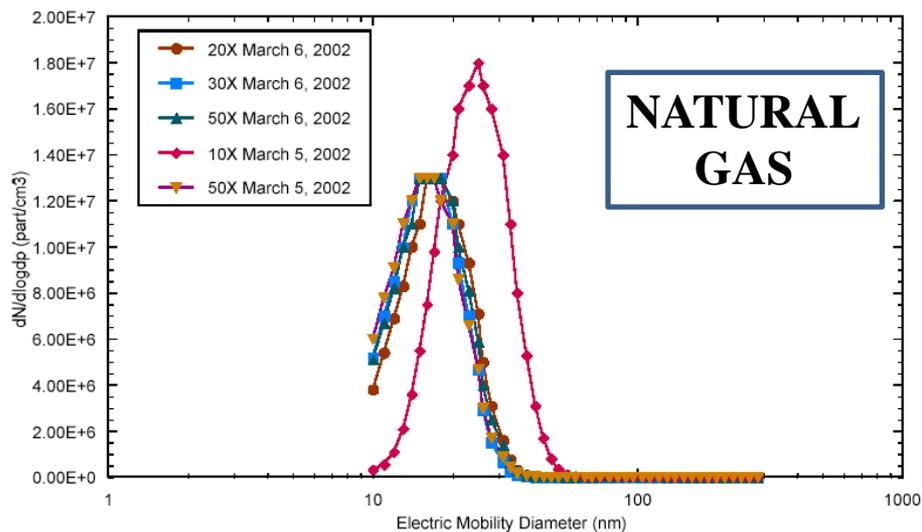
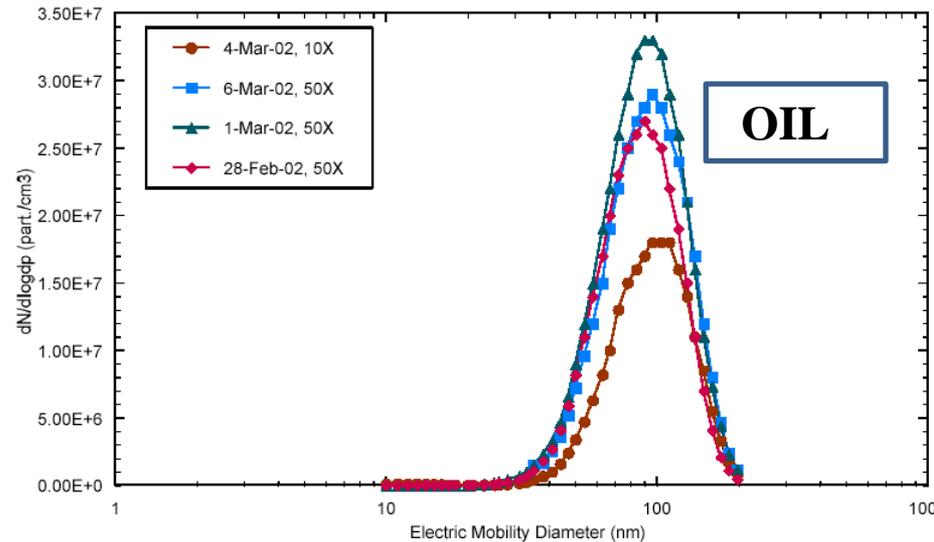
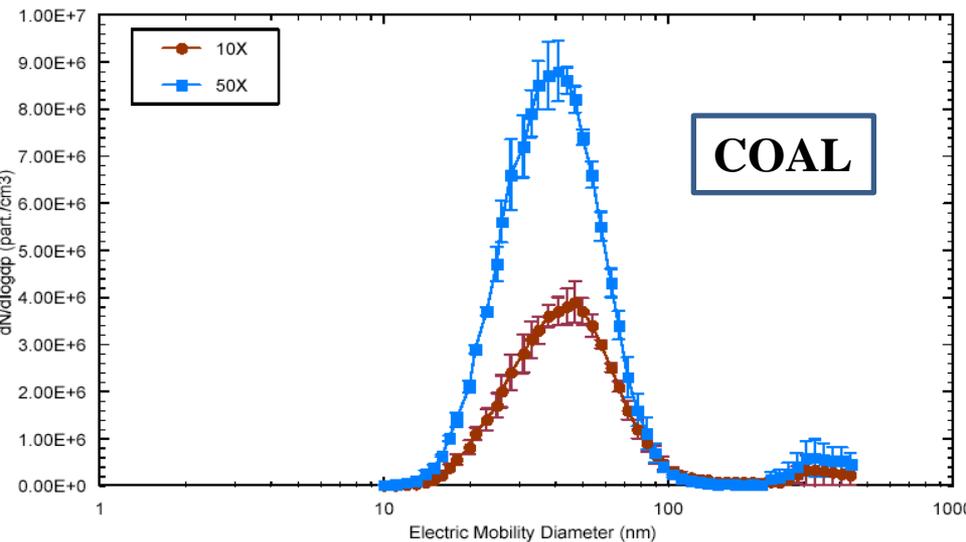


## Typical Roadway Data, Minnesota

*Kittelson et al., 2001*



# Powerplants: Ultrafine Particle Size Distribution at 10, 20, 30 and 50 X Dilution Air Ratios (Exhaust temp. $450^{\circ}$ K; residence time 80 sec) (Chang et al., 2003)



## 3-D Printing



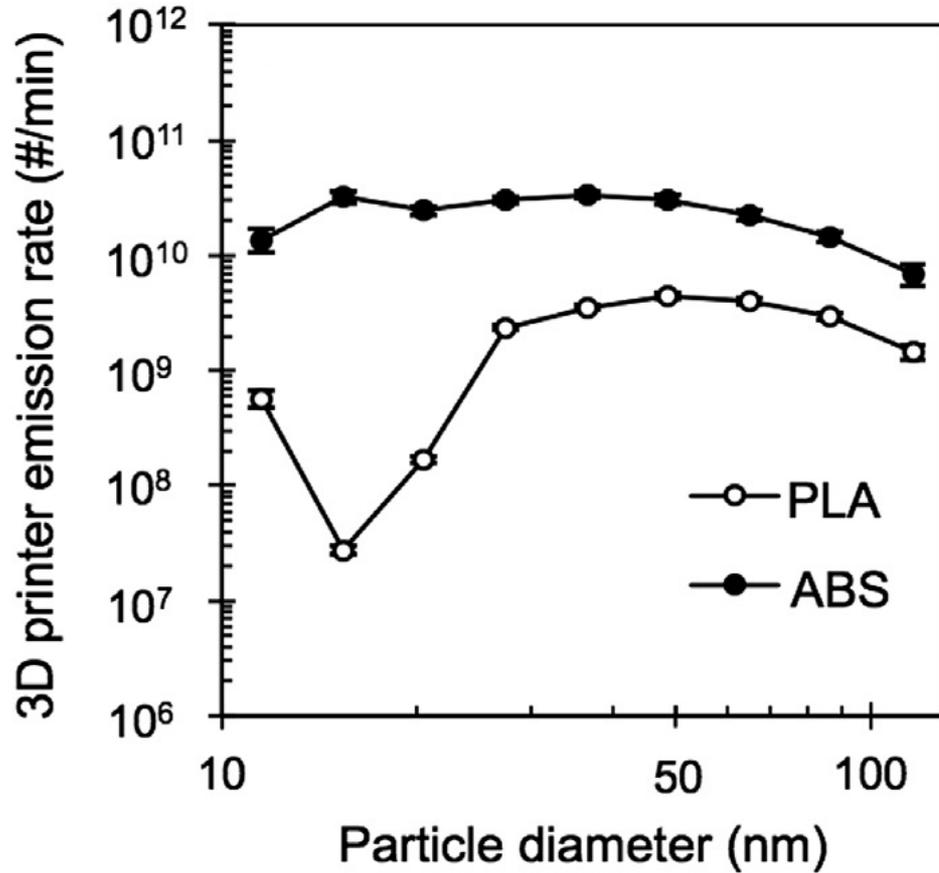
Printed medical model



Printed acoustic guitar

# Ultrafine Emission Rates from 3-D Printers Using Different Feedstocks

*Polylactic Acid (PLA)*



*Acrylonitrile Butadiene Styrene (ABS)*

